

General information about company	
Scrip code	500337
NSE Symbol	PRIMESECU
MSEI Symbol	NOTLISTED
ISIN	INE032B01021
Name of the company	PRIME SECURITIES LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2021
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	Yes	No	Yes	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group										
(B)	Public	19651	26515325			26515325	100	26515325		26515325	100
(C)	Non Promoter- Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	19651	26515325			26515325	100	26515325		26515325	100

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (X1)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group									
(B)	Public	3635000		3635000	100					25242111
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total	3635000		3635000	100					25242111

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(2)	Foreign										
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	6	10400			10400	0.04	10400		10400	0.04
(e)	Foreign Portfolio Investors	5	1025311			1025311	3.87	1025311		1025311	3.87
(f)	Financial Institutions/ Banks	9	3303			3303	0.01	3303		3303	0.01
(i)	Any Other (specify)	7	900			900	0	900		900	0
Sub-Total (B)(1)		27	1039914			1039914	3.92	1039914		1039914	3.92
( 3 )	Non-institutions										
(a(i))	Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	19102	5499114			5499114	20.74	5499114		5499114	20.74
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	35	7759361			7759361	29.26	7759361		7759361	29.26
(e)	Any Other (specify)	487	12216936			12216936	46.08	12216936		12216936	46.08
Sub-Total (B)(3)		19624	25475411			25475411	96.08	25475411		25475411	96.08
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		19651	26515325			26515325	100	26515325		26515325	100
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total ( A+B+C2 )		19651	26515325			26515325	100	26515325		26515325	100
Total (A+B+C )		19651	26515325			26515325	100	26515325		26515325	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing sharcholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(2)	Foreign								
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)	0		0	0.03					100
(e)	0		0	3.4					1015011
(f)	0		0	0.01					203
(i)	0		0	0					0
Sub-Total (B)(1)	0		0	3.45					1015314
( 3 )	Non-institutions								
(a(i))	160000		160000	18.77					4363400
(a(ii))	3475000		3475000	37.26					7679861
(e)	0		0	40.52					12183536
Sub-Total (B)(3)	3635000		3635000	96.55					24226797
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)	3635000		3635000	100					25242111
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total ( A+B+C2 )	3635000		3635000	100					25242111
Total (A+B+C )	3635000		3635000	100					25242111

Foreign Portfolio Investors		
Scarial No.	1	
Name of the Shareholders (I)	Saint Capital Fund	<a href="#">Click here to go back</a>
PAN (II)	ABBCS0999P	Total
No. of fully paid up equity shares held (IV)	866537	866537
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	866537	866537
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.27	3.27
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	866537	866537
Class eg:y		
Total	866537	866537
Total as a % of Total Voting rights	3.27	3.27
No. Of Shares Underlying Outstanding convertible securities (X)	0	0
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	0
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.87	2.87
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	866537	866537
Reason for not providing PAN		
Reason for not providing PAN		

<b>Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.</b>							
Scarial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	N. Jayakumar	Mahendra Jayantilal Shah	Kishore Hemandas Mulani	Mohit Oswal	Akshay Gupta	Harsh Anand Jain	Madhu Jayakumar Vadera
PAN (II)	AADPN1741L	AAYFM7740H	AGPPM5831J	AADPO1072F	ACRPG3469L	AFBPJ0984J	AADPV7699B
No. of fully paid up equity shares held (IV)	414928	1510815	1028322	325527	471258	395000	335996
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	414928	1510815	1028322	325527	471258	395000	335996
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.56	5.7	3.88	1.23	1.78	1.49	1.27
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	414928	1510815	1028322	325527	471258	395000	335996
Class eg:y							
Total	414928	1510815	1028322	325527	471258	395000	335996
Total as a % of Total Voting rights	1.56	5.7	3.88	1.23	1.78	1.49	1.27
No. Of Shares Underlying Outstanding convertible securities (X)	0	0	0	0	1200000	0	0
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	0	0	0	1200000	0	0
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.38	5.01	3.41	1.08	5.54	1.31	1.11
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	414928	1510815	1028322	325527	471258	395000	335996
Reason for not providing PAN							
Reason for not providing PAN							



Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		
Scarial No.	8	
Name of the Shareholders (I)	Chetan Rasiklal Shah	<a href="#">Click here to go back</a>
PAN (II)	APUPS9951D	Total
No. of fully paid up equity shares held (IV)	286485	4768331
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	286485	4768331
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.08	17.98
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	286485	4768331
Class eg:y		
Total	286485	4768331
Total as a % of Total Voting rights	1.08	17.98
No. Of Shares Underlying Outstanding convertible securities (X)	0	1200000
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	1200000
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.95	19.8
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	286485	4768331
Reason for not providing PAN		
Reason for not providing PAN		

Any Other (specify)							
Scarial No.	1	2	3	4	5	6	7
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Clearing Members	HUF	Non-Resident Indian (NRI)
Category / More than 1 percentage	Category	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	Category	Category	Category
Name of the Shareholders (I)		Judith Investments Private Limited	Gkk Capital Markets Private Limited	LKP Finance Limited			
PAN (II)		AABCJ4889Q	AAACG9906E	AAACL2041P			
No. of the Shareholders (I)	112	1	1	1	19	231	120
No. of fully paid up equity shares held (IV)	7786986	1783497	3550000	299403	7006	245426	950758
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	7786986	1783497	3550000	299403	7006	245426	950758
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	29.37	6.73	13.39	1.13	0.03	0.93	3.59
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	7786986	1783497	3550000	299403	7006	245426	950758
Class eg:y							
Total	7786986	1783497	3550000	299403	7006	245426	950758
Total as a % of Total Voting rights	29.37	6.73	13.39	1.13	0.03	0.93	3.59
No. Of Shares Underlying Outstanding convertible securities (X)	0	0	0	0	0	0	0
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	0	0	0	0	0	0
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	25.83	5.92	11.77	0.99	0.02	0.81	3.15
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							

Number of equity shares held in dematerialized form (XIV)	7784686	1783497	3550000	299403	7006	245426	948258
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)							
Scarial No.	8	9	10	11	12	13	14
Category	Non-Resident Indian (NRI)	Overseas Corporate Bodies	Trusts	LLP	LLP	Bodies Corporate	Bodies Corporate
Category / More than 1 percentage	More than 1 percentage of shareholding	Category	Category	Category	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding
Name of the Shareholders (I)	Koppara Sajeeve Thomas				Statin Enterprise LLP	Prolific Finvest Private Limited	Has Lifestyle Limited
PAN (II)	ANKPS5450R				ADMFS9852M	AAACY2955M	AABCH7937F
No. of the Shareholders (I)	1	1	1	3	1	1	1
No. of fully paid up equity shares held (IV)	750000	28600	100	3198060	3148059	399584	342613
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	750000	28600	100	3198060	3148059	399584	342613
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.83	0.11	0	12.06	11.87	1.51	1.29
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	750000	28600	100	3198060	3148059	399584	342613
Class eg:y							
Total	750000	28600	100	3198060	3148059	399584	342613
Total as a % of Total Voting rights	2.83	0.11	0	12.06	11.87	1.51	1.29
No. Of Shares Underlying Outstanding convertible securities (X)	0	0	0	0	0	0	0
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	0	0	0	0	0	0
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.49	0.09	0	10.61	10.44	1.33	1.14
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity	750000	0	100	3198060	3148059	399584	342613

shares held in dematerialized form (XIV)							
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)	
Scarial No.	
Category	
Category / More than 1 percentage	
Name of the Shareholders (I)	<a href="#">Click here to go back</a>
PAN (II)	Total
No. of the Shareholders (I)	487
No. of fully paid up equity shares held (IV)	12216936
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	12216936
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	46.09
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	12216936
Class eg:y	
Total	12216936
Total as a % of Total Voting rights	46.09
No. Of Shares Underlying Outstanding convertible securities (X)	0
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	40.51
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	12183536

Reason for not providing PAN	
Reason for not providing PAN	

Sr. No.	Details of the SBO	Details of the registered owner	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*	Date of creation / acquisition of significant beneficial interest	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:	Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence
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