

General information about company	
Scrip code	500337
NSE Symbol	PRIMESECU
MSEI Symbol	NOTLISTED
ISIN	INE032B01021
Name of the company	PRIME SECURITIES LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2020
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration					
St. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	Yes	No	Yes	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Table I - Summary Statement holding of specified securities											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group										
(B)	Public	20294	26515325			26515325	100	26515325		26515325	100
(C)	Non Promoter- Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	20294	26515325			26515325	100	26515325		26515325	100

Table I - Summary Statement holding of specified securities										
Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group									
(B)	Public	3640000		3640000	100					25235961
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total	3640000		3640000	100					25235961

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(2)	Foreign										
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	6	10400			10400	0.04	10400		10400	0.04
(e)	Foreign Portfolio Investors	4	160300			160300	0.6	160300		160300	0.6
(f)	Financial Institutions/ Banks	9	3303			3303	0.01	3303		3303	0.01
(i)	Any Other (specify)	7	900			900	0	900		900	0
Sub-Total (B)(1)		26	174903			174903	0.66	174903		174903	0.66
(3)	Non-institutions										
(a(ii))	Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	19660	6639064			6639064	25.04	6639064		6639064	25.04
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	39	12413414			12413414	46.82	12413414		12413414	46.82
(b)	NBFCs registered with RBI	1	300			300	0	300		300	0
(e)	Any Other (specify)	568	7287644			7287644	27.48	7287644		7287644	27.48
Sub-Total (B)(3)		20268	26340422			26340422	99.34	26340422		26340422	99.34
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		20294	26515325			26515325	100	26515325		26515325	100
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		20294	26515325			26515325	100	26515325		26515325	100
Total (A+B+C)		20294	26515325			26515325	100	26515325		26515325	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(2)	Foreign								
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)	0		0	0.03					100
(e)	0		0	0.53					150000
(f)	0		0	0.01					203
(i)	0		0	0					0
Sub-Total (B)(1)	0		0	0.58					150303
(3)	Non-institutions								
(a(i))	165000		165000	22.56					5495300
(a(ii))	3475000		3475000	52.69					12333914
(b)	0		0	0					300
(c)	0		0	24.17					7256144
Sub-Total (B)(3)	3640000		3640000	99.42					25085658
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)	3640000		3640000	100					25235961
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)	3640000		3640000	100					25235961
Total (A+B+C)	3640000		3640000	100					25235961

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.							
Serial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	N. Jayakumar	Navin Khandelwal	Mahendra Jayantilal Shah	Kishore Hemandas Mulani	Mohit Oswal	Akshay Gupta	Harsh Anand Jain
PAN (II)	AADPN1741L	AILPK4709K	AAYFM7740H	AGPPM5831J	AADPO1072F	ACRPG3469L	AFBPJ0984J
No. of fully paid up equity shares held (IV)	3562987	1410000	1278035	725425	558436	470580	395000
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	3562987	1410000	1278035	725425	558436	470580	395000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	13.44	5.32	4.82	2.74	2.11	1.77	1.49
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	3562987	1410000	1278035	725425	558436	470580	395000
Class eg:y							
Total	3562987	1410000	1278035	725425	558436	470580	395000
Total as a % of Total Voting rights	13.44	5.32	4.82	2.74	2.11	1.77	1.49
No. Of Shares Underlying Outstanding convertible securities (X)	0	0	0	0	0	1200000	0
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	0	0	0	0	1200000	0
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	11.82	4.68	4.24	2.41	1.85	5.54	1.31
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in	3562987	1410000	1278035	725425	558436	470580	395000

dematerialized form (XIV)							
Reason for not providing PAN							
Reason for not providing PAN							

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.			
Searial No.	8	9	
Name of the Shareholders (I)	Chetan Rasiklal Shah	Madhu Jayakumar Vadera	Click here to go back
PAN (II)	APUPS9951D	AADPV7699B	Total
No. of fully paid up equity shares held (IV)	305996	335996	9042455
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	305996	335996	9042455
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.15	1.27	34.1
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	305996	335996	9042455
Class eg:y			
Total	305996	335996	9042455
Total as a % of Total Voting rights	1.15	1.27	34.1
No. Of Shares Underlying Outstanding convertible securities (X)	0	0	1200000
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	0	1200000
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.01	1.11	33.97
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	305996	335996	9042455

Reason for not providing PAN			
Reason for not providing PAN			

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Clearing Members	HUF
Category / More than 1 percentage	Category	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	Category	Category
Name of the Shareholders (I)		Judith Investments Private Limited	Gkk Capital Markets Private Limited	LKP Finance Limited	Team India Managers Ltd		
PAN (II)		AABCJ4889Q	AAACG9906E	AAACL2041P	AACCT6795L		
No. of the Shareholders (I)	133	1	1	1	1	27	274
No. of fully paid up equity shares held (IV)	5964943	1783497	1390000	563898	390000	6916	322353
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	5964943	1783497	1390000	563898	390000	6916	322353
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	22.5	6.73	5.24	2.13	1.47	0.03	1.22
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	5964943	1783497	1390000	563898	390000	6916	322353
Class eg:y							
Total	5964943	1783497	1390000	563898	390000	6916	322353
Total as a % of Total Voting rights	22.5	6.73	5.24	2.13	1.47	0.03	1.22
No. Of Shares Underlying Outstanding convertible securities (X)	0	0	0	0	0	0	0
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	0	0	0	0	0	0
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	19.78	5.91	4.61	1.87	1.29	0.02	1.07

Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	5964543	1783497	1390000	563898	390000	6916	322353
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)					
Serial No.	8	9	10	11	
Category	Non-Resident Indian (NRI)	Non-Resident Indian (NRI)	Overseas Corporate Bodies	Trusts	
Category / More than 1 percentage	Category	More than 1 percentage of shareholding	Category	Category	
Name of the Shareholders (I)		Koppara Sajeeve Thomas			Click here to go back
PAN (II)		ANKPS5450R			Total
No. of the Shareholders (I)	131	1	1	2	568
No. of fully paid up equity shares held (IV)	964232	750000	28600	600	7287644
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	964232	750000	28600	600	7287644
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.64	2.83	0.11	0	27.5
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	964232	750000	28600	600	7287644
Class eg:y					
Total	964232	750000	28600	600	7287644
Total as a % of Total Voting rights	3.64	2.83	0.11	0	27.5
No. Of Shares Underlying Outstanding convertible securities (X)	0	0	0	0	0
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	0	0	0	0
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.2	2.49	0.09	0	24.16
Number of Locked in shares (XII)					

No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	961732	750000	0	600	7256144
Reason for not providing PAN					
Reason for not providing PAN					

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence