

General information about company	
Scrip code	500337
Name of the company	Prime Securities Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2017
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	Yes
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No

Table I - Summary Statement holding of specified securities											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group										
(B)	Public	23966	26515325			26515325	100	26515325		26515325	100
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	23966	26515325			26515325	100	26515325		26515325	100

Table I - Summary Statement holding of specified securities										
Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group									
(B)	Public	146400		146400	100					25145161
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total	146400		146400	100					25145161

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(2)	Foreign										
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	6	10400			10400	0.04	10400		10400	0.04
(e)	Foreign Portfolio Investors	2	10300			10300	0.04	10300		10300	0.04
(f)	Financial Institutions/ Banks	8	3202			3202	0.01	3202		3202	0.01
(i)	Any Other (specify)	7	900			900	0	900		900	0
Sub-Total (B)(1)		23	24802			24802	0.09	24802		24802	0.09
(3)	Non-institutions										
(a(i))	Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	23072	10024040			10024040	37.8	10024040		10024040	37.8
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	60	12155145			12155145	45.84	12155145		12155145	45.84
(e)	Any Other (specify)	811	4311338			4311338	16.26	4311338		4311338	16.26
Sub-Total (B)(3)		23943	26490523			26490523	99.91	26490523		26490523	99.91
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		23966	26515325			26515325	100	26515325		26515325	100
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		23966	26515325			26515325	100	26515325		26515325	100
Total (A+B+C)		23966	26515325			26515325	100	26515325		26515325	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(2)	Foreign								
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)	0		0	0.04					100
(e)	0		0	0.04					0
(f)	0		0	0.01					102
(i)	0		0	0					0
Sub-Total (B)(1)	0		0	0.09					202
(3)	Non-institutions								
(a(ii))	0		0	37.6					8788976
(a(ii))	146400		146400	46.14					12075745
(e)	0		0	16.17					4280238
Sub-Total (B)(3)	146400		146400	99.91					25144959
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)	146400		146400	100					25145161
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)	146400		146400	100					25145161
Total (A+B+C)	146400		146400	100					25145161

Any Other (specify)		
Serial No.	1	
Category	Other	
Category / More than 1 percentage	Category	Click here to go back
Name of the Shareholders (I)	Foreign Banks	
PAN (II)		Total
No. of the Shareholders (I)	7	7
No. of fully paid up equity shares held (IV)	900	900
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	900	900
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	0
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	900	900
Class eg:y		
Total	900	900
Total as a % of Total Voting rights	0	0
No. Of Shares Underlying Outstanding convertible securities (X)	0	0
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and Warrants (X)	0	0
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X1)= (VII)+(X) As a % of (A+B+C2)	0	0
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	0	0
Reason for not providing PAN		

Reason for not providing PAN		
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Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.						
Scarial No.	1	2	3	4	5	
Name of the Shareholders (I)	N. Jayakumar, Persons acting in concert: Madhu Jayakumar, Judith Investments Private Ltd	Abhay Agarwal	Suresh M Hegde	Bakulesh Trambaklal Shah	Mohit Oswal	Click here to go back
PAN (II)	AADPN1741L	AACPA1539Q	AAAPH8673C	ALQPS4619A	AADPO1072F	Total
No. of fully paid up equity shares held (IV)	4978569	907613	801828	500000	329098	7517108
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4978569	907613	801828	500000	329098	7517108
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	18.78	3.42	3.02	1.89	1.24	28.35
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	4978569	907613	801828	500000	329098	7517108
Class eg:y						
Total	4978569	907613	801828	500000	329098	7517108
Total as a % of Total Voting rights	18.78	3.42	3.02	1.89	1.24	28.35
No. Of Shares Underlying Outstanding convertible securities (X)	0	0	0	0	0	0
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	0	0	0	0	0
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X1)= (VII)+(X) As a % of (A+B+C2)	18.67	3.4	3.01	1.88	1.23	28.19
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	4978569	907613	801828	500000	329098	7517108
Reason for not providing PAN						
Reason for not providing PAN						

Any Other (specify)							
Scarial No.	1	2	3	4	5	6	7
Category	Bodies Corporate	Clearing Members	Clearing Members	HUF	NRI – Non- Repat	NRI – Repat	NRI – Repat
Category / More than 1 percentage	Category	Category	More than 1 percentage of shareholding	Category	Category	Category	More than 1 percentage of shareholding
Name of the Shareholders (I)			Globalworth Securities Ltd.				Koppara Sajeeve Thomas
PAN (II)			AADCG7624G				ANKPS5450R
No. of the Shareholders (I)	220	102	1	351	55	81	1
No. of fully paid up equity shares held (IV)	2188417	730063	445509	409754	57818	896586	750000
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2188417	730063	445509	409754	57818	896586	750000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	8.25	2.75	1.68	1.55	0.22	3.38	2.83
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	2188417	730063	445509	409754	57818	896586	750000
Class eg:y							
Total	2188417	730063	445509	409754	57818	896586	750000
Total as a % of Total Voting rights	8.25	2.75	1.68	1.55	0.22	3.38	2.83
No. Of Shares Underlying Outstanding convertible securities (X)	0	0	0	0	0	0	0
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	0	0	0	0	0	0
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X1)= (VII)+(X) As a % of (A+B+C2)	8.21	2.74	1.67	1.54	0.22	3.36	2.81
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	2188417	730063	445509	409754	57818	894086	750000

Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)			
Scarial No.	8	9	
Category	Overseas corporate bodies	Trusts	
Category / More than 1 percentage	Category	Category	
Name of the Shareholders (I)			Click here to go back
PAN (II)			Total
No. of the Shareholders (I)	1	1	811
No. of fully paid up equity shares held (IV)	28600	100	4311338
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	28600	100	4311338
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.11	0	16.26
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	28600	100	4311338
Class eg:y			
Total	28600	100	4311338
Total as a % of Total Voting rights	0.11	0	16.26
No. Of Shares Underlying Outstanding convertible securities (X)	0	0	0
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	0	0
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X1)= (VII)+(X) As a % of (A+B+C2)	0.11	0	16.18
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	0	100	4280238

Reason for not providing PAN			
Reason for not providing PAN			

