

**PRIME SECURITIES LIMITED**  
**Format of Holding of Specified Securities for the Quarter ended June 30, 2016**



1. **Name of Listed Entity:** Prime Securities Limited
2. **Scrip Code / Name of Scrip / Class of Security:** Code: 500337 (BSE), PRIMESECU (NSE) / Scrip Name: Prime Securities Limited / Class of Security: Equity Shares
3. **Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(C):** Regulation 31(1)(b) - Report for the Quarter ended June 30, 2016
4. **Declaration:**

| Particulars   | Yes | No |
|---|-----|----|
| 1. Whether the Listed Entity has issued any partly paid up shares?                        |     | √  |
| 2. Whether the Listed Entity has issued any Convertible Securities or Warrants?           | √   |    |
| 3. Whether the Listed Entity has any shares against which depository receipts are issued? |     | √  |
| 4. Whether the Listed Entity has any shares in locked-in?                                 |     | √  |
| 5. Whether any shares held by promoters are pledge or otherwise encumbered?               |     | √  |

5. **Table I - Summary Statement holding of specified securities**

| Category (I) | Category of shareholder (II)   | Nos. of shareholders (III) | No. of fully paid up equity shares held (IV) | No. of Partly paid-up equity shares held (V) | No. of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculate as per SCRR, 1957) (VIII)<br>As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) |             |                   |                         | No. of Shares Underlying Outstanding convertible securities (including Warrants) (X) | Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X)<br>As a % of | Number of Locked in shares (XII) |                                 | Number of Shares pledged or otherwise encumbered (XIII) |                                 | Number of equity shares held in dematerialized form (XIV) |
|--------------|--------------------------------|----------------------------|--|--|---|--|---|---|-------------|-------------------|-------------------------|--|--|----------------------------------|---------------------------------|---|---------------------------------|---|
|              |                                |                            |  |  |   |  |   | No. of Voting Rights  |             |                   | Total as a % of (A+B+C) |  |  | No. (a)                          | As a % of total Shares held (b) | No. (a)   | As a % of total Shares held (b) |   |
|              |                                |                            |  |  |   |  |   | Class eg: X   | Class eg: Y | Total             |                         |  |  |                                  |                                 |   |                                 |   |
| (A)          | Promoter & Promoter Group      | -                          | -  | -  | -   | -  | -   | -   | -           | -                 | -                       | -  | -  | -                                | -                               | -   | -                               | -   |
| (B)          | Public                         | 24,217                     | 26,473,525                                   | -  | -   | 26,473,525                                   | 100.00  | 26,473,525  | -           | 26,473,525        | 100.00                  | 842,200  | 100.00   | -                                | -                               | NA  | -                               | 25,085,961  |
| (C)          | Non Promoter- Non Public       | -                          | -  | -  | -   | -  | -   | -   | -           | -                 | -                       | -  | -  | -                                | -                               | NA  | -                               | -   |
| (C1)         | Shares underlying DRs          | -                          | -  | -  | -   | -  | N.A.  | -   | -           | -                 | -                       | -  | -  | -                                | -                               | NA  | -                               | -   |
| (C2)         | Shares held by Employee Trusts | -                          | -  | -  | -   | -  | -   | -   | -           | -                 | -                       | -  | -  | -                                | -                               | NA  | -                               | -   |
|              | <b>Total</b>                   | <b>24,217</b>              | <b>26,473,525</b>                            | -  | -   | <b>26,473,525</b>                            | <b>100.00</b>   | <b>26,473,525</b>   | -           | <b>26,473,525</b> | <b>100.00</b>           | <b>842,200.00</b>  | <b>100.00</b>  | -                                | -                               | -   | -                               | <b>25,085,961</b>   |



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**Format of Holding of Specified Securities for the Quarter ended June 30, 2016**



|   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| (e)   | Any Other (specify)   | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
|   | Sub-Total (A)(2)  | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
|   | <b>Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)</b> | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.<br>Nil |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |

**Table III - Statement showing shareholding pattern of the Public shareholder**

|     | Category & Name of the Shareholders (I) | PAN (II) | Nos. of shareholders (III) | No. of fully paid up equity shares held (IV) | No. of Partly paid-up equity shares held (V) | No. of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)<br>As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) |             |        | No. of Shares Outstanding convertible securities (including Warrants) (X) | Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X)<br>As a % of (A+B+C2) | Number of Locked in shares (XII) |         | Number of Shares pledged or otherwise encumbered (XIII) |         | Number of equity shares held in dematerialized form (XIV) |                                 |
|-----|---|----------|----------------------------|--|--|---|--|--|---|-------------|--------|---|--|----------------------------------|---------|---|---------|---|---------------------------------|
|     |   |          |                            |  |  |   |  |  | No. of Voting Rights  |             |        |   |  | Total as a % of (A+B+C)          | No. (a) | As a % of total Shares held (b)                         | No. (a) |   | As a % of total Shares held (b) |
|     |   |          |                            |  |  |   |  |  | Class eg: X   | Class eg: Y | Total  |   |  |                                  |         |   |         |   |                                 |
| (1) | Institutions                            |          |                            |  |  |   |  |  |   |             |        |   |  |                                  |         |   |         |   |                                 |
| (a) | Mutual Funds                            | -        | 6                          | 10,400                                       | -  | -   | 10,400                                       | 0.04   | 10,400  | -           | 10,400 | 0.04  | -  | -                                | -       | -   | -       | -   | 100                             |
| (b) | Venture Capital Funds                   | -        | -                          | -  | -  | -   | -  | -  | -   | -           | -      | -   | -  | -                                | -       | -   | -       | -   | -                               |
| (c) | Alternate Investment Funds              | -        | -                          | -  | -  | -   | -  | -  | -   | -           | -      | -   | -  | -                                | -       | -   | -       | -   | -                               |
| (d) | Foreign Venture Capital Investors       | -        | -                          | -  | -  | -   | -  | -  | -   | -           | -      | -   | -  | -                                | -       | -   | -       | -   | -                               |
| (e) | Foreign Portfolio Investors             | -        | 2                          | 10,300                                       | -  | -   | 10,300                                       | 0.04   | 10,300  | -           | 10,300 | 0.04  | -  | -                                | -       | -   | -       | -   | -                               |

**PRIME SECURITIES LIMITED**  
**Format of Holding of Specified Securities for the Quarter ended June 30, 2016**



|     |   |   |        |            |   |   |            |       |            |   |            |       |         |       |   |   |   |   |           |
|-----|---|---|--------|------------|---|---|------------|-------|------------|---|------------|-------|---------|-------|---|---|---|---|-----------|
| (f) | Financial Institutions/<br>Banks  | - | 7      | 3,200      | - | - | 3,200      | 0.01  | 3,200      | - | 3,200      | 0.01  | -       | 0.01  | - | - | - | - | 100       |
| (g) | Insurance Companies   | - | -      | -          | - | - | -          | -     | -          | - | -          | -     | -       | -     | - | - | - | - | -         |
| (h) | Provident Funds/<br>Pension Funds   | - | -      | -          | - | - | -          | -     | -          | - | -          | -     | -       | -     | - | - | - | - | -         |
| (k) | Any Other   | - | 7      | 900        | - | - | 900        | 0.00  | 900        | - | 900        | 0.00  | -       | 0.00  | - | - | - | - | -         |
|     | Foreign Bank  | - | 7      | 900        | - | - | 900        | 0.00  | 900        | - | 900        | 0.00  | -       | 0.00  | - | - | - | - | -         |
|     | Sub-Total (B)(1)  | - | 22     | 24,800     | - | - | 24,800     | 0.09  | 24,800     | - | 24,800     | 0.09  | -       | 0.09  | - | - | - | - | 200       |
| (2) | Central Government/ State Government(s) / President of India                        | - | -      | -          | - | - | -          | -     | -          | - | -          | -     | -       | -     | - | - | - | - | -         |
|     | Sub-Total (B)(2)  | - | -      | -          | - | - | -          | -     | -          | - | -          | -     | -       | -     | - | - | - | - | -         |
| (3) | Non-institutions  |   |        |            |   |   |            |       |            |   |            |       |         |       |   |   |   |   |           |
| (a) | Individuals-  |   |        |            |   |   |            |       |            |   |            |       |         |       |   |   |   |   |           |
|     | i. Individual share-holders holding nominal share capital up to Rs. 2 lakhs         | - | 23,316 | 10,533,251 | - | - | 10,533,251 | 39.79 | 10,533,251 | - | 10,533,251 | 39.79 | -       | 38.56 | - | - | - | - | 9,280,787 |
|     | ii. Individual share-holders holding nominal share capital in excess of Rs. 2 lakhs | - | 49     | 9,578,427  | - | - | 9,578,427  | 36.18 | 9,578,427  | - | 9,578,427  | 36.18 | 842,200 | 38.15 | - | - | - | - | 9,499,027 |

**PRIME SECURITIES LIMITED**  
**Format of Holding of Specified Securities for the Quarter ended June 30, 2016**



|   |                |   |           |           |   |           |       |           |   |           |       |         |       |   |   |   |   |           |
|---|----------------|---|-----------|-----------|---|-----------|-------|-----------|---|-----------|-------|---------|-------|---|---|---|---|-----------|
| N. Jayakumar, Persons acting in concert: Judith Investments Private Ltd, Vinay Motwani, Veena Motwani | AADPN1<br>741L | - | 5,398,256 | -         | - | 5,398,256 | 20.39 | 5,398,256 | - | 5,398,256 | 20.39 | 363,300 | 21.09 | - | - | - | - | 5,398,256 |
| Abhay Agarwal   | AACPA1         | - | 872,855   | -         | - | 872,855   | 3.30  | 872,855   | - | 872,855   | 3.30  | -       | 3.20  | - | - | - | - | 872,855   |
| Mohit Oswal   | AADPO1         | - | 270,765   | -         | - | 270,765   | 1.02  | 270,765   | - | 270,765   | 1.02  | -       | 0.99  | - | - | - | - | 270,765   |
| (b) NBFCs registered with RBI   |                | - | -         | -         | - | -         | -     | -         | - | -         | -     | -       | -     | - | - | - | - | -         |
| (c) Employee Trusts   |                | - | -         | -         | - | -         | -     | -         | - | -         | -     | -       | -     | - | - | - | - | -         |
| (d) Overseas Depositories (holding DRs)   |                | - | -         | -         | - | -         | -     | -         | - | -         | -     | -       | -     | - | - | - | - | -         |
| (d) Any Other   |                | - | 830       | 6,337,047 | - | 6,337,047 | 23.94 | 6,337,047 | - | 6,337,047 | 23.94 | -       | 23.20 | - | - | - | - | 6,305,947 |
| Bodies Corporate  |                | - | 251       | 4,170,682 | - | 4,170,682 | 15.75 | 4,170,682 | - | 4,170,682 | 15.75 | -       | 15.27 | - | - | - | - | 4,170,682 |
| Videocon Industries Ltd   | AABCV4<br>012H | - | 1,762,565 | -         | - | 1,762,565 | 6.66  | 1,762,565 | - | 1,762,565 | 6.66  | -       | 6.45  | - | - | - | - | 1,762,565 |
| Yes Capital Venture Private Ltd   | AAACY4<br>277C | - | 801,828   | -         | - | 801,828   | 3.03  | 801,828   | - | 801,828   | 3.03  | -       | 2.94  | - | - | - | - | 801,828   |
| Prime Broking Company (India) Ltd   | AAACP3<br>113C | - | 312,654   | -         | - | 312,654   | 1.18  | 312,654   | - | 312,654   | 1.18  | -       | 1.14  | - | - | - | - | 312,654   |
| Clearing Members  |                | - | 105       | 699,078   | - | 699,078   | 2.64  | 699,078   | - | 699,078   | 2.64  | -       | 2.56  | - | - | - | - | 699,078   |

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|  |            |               |                   |   |   |                   |               |                   |   |                   |               |                |               |   |   |   |   |                   |
|--|------------|---------------|-------------------|---|---|-------------------|---------------|-------------------|---|-------------------|---------------|----------------|---------------|---|---|---|---|-------------------|
| Globalworth Securities Ltd.  | AADCG7624G | 1             | 330,000           | - | - | 330,000           | 1.25          | 330,000           | - | 330,000           | 1.25          | -              | 1.21          | - | - | - | - | 330,000           |
| Hindu Undivided Family   | -          | 372           | 571,696           | - | - | 571,696           | 2.16          | 571,696           | - | 571,696           | 2.16          | -              | 2.09          | - | - | - | - | 571,696           |
| Non Resident Indians (Non Repat)   | -          | 40            | 28,718            | - | - | 28,718            | 0.11          | 28,718            | - | 28,718            | 0.11          | -              | 0.11          | - | - | - | - | 28,718            |
| Non Resident Indians (Repat)   | -          | 60            | 838,173           | - | - | 838,173           | 3.17          | 838,173           | - | 838,173           | 3.17          | -              | 3.07          | - | - | - | - | 835,673           |
| Koppara Sajeeve Thomas   | ANKPS5450R | -             | 750,000           | - | - | 750,000           | 2.83          | 750,000           | - | 750,000           | 2.83          | -              | 2.75          | - | - | - | - | 750,000           |
| Overseas Bodies Corporates   | -          | 1             | 28,600            | - | - | 28,600            | 0.11          | 28,600            | - | 28,600            | 0.11          | -              | 0.10          | - | - | - | - | -                 |
| Trusts   | -          | 1             | 100               | - | - | 100               | 0.00          | 100               | - | 100               | 0.00          | -              | 0.00          | - | - | - | - | 100               |
| Sub-Total (B)(3)   | -          | 24,195        | 26,448,725        | - | - | 26,448,725        | 99.91         | 26,448,725        | - | 26,448,725        | 99.91         | 842,200        | 99.91         | - | - | - | - | 25,085,761        |
| <b>Total Shareholding of Promoter and Promoter Group (B)= (B)(1)+(B)(2)+(B)(3)</b> | -          | <b>24,217</b> | <b>26,473,525</b> | - | - | <b>26,473,525</b> | <b>100.00</b> | <b>26,473,525</b> | - | <b>26,473,525</b> | <b>100.00</b> | <b>842,200</b> | <b>100.00</b> | - | - | - | - | <b>25,085,961</b> |

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

**None**

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

**Nil**

