

**General information about company**

Scrip code	500337
NSE Symbol	PRIMESECU
MSEI Symbol	NOTLISTED
ISIN	INE032B01021
Name of the entity	PRIME SECURITIES LIMITED
Date of start of financial year	01-04-2022
Date of end of financial year	31-03-2023
Reporting Quarter	Quarterly
Date of Report	31-12-2022
Risk management committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Top 2000 listed entities

**Annexure I**

**Annexure I to be submitted by listed entity on quarterly basis**

**I. Composition of Board of Directors**

Disclosure of notes on composition of board of directors explanatory																									
Whether the listed entity has a Regular Chairperson								Yes																	
Whether Chairperson is related to MD or CEO								No																	
								Disqualification of Directors under section 164 of the Companies Act, 2013																	
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	Mr	Pradip Dubhashi	ABAPD7732R	01445030	Non-Executive - Independent Director	Chairperson		25-08-1948	No				Active	Yes	29-02-2020	01-03-2015	29-02-2020		94	1	1	2	1		
2	Mr	N. Jayakumar	AADPN1741L	00046048	Executive Director	Not Applicable	CEO-MD	31-12-1960	No				Active	NA		11-02-2021	11-02-2021		0	1	0	0	0		
3	Ms	Namrata Kaul	ABCPK7626D	00994532	Non-Executive - Independent Director	Not Applicable		15-03-1964	No				Active	NA		14-02-2019	14-02-2019		44	3	3	5	0		
4	Ms	Smita Affinwalla	AAAPA8215F	07106628	Non-Executive - Independent Director	Not Applicable		04-11-1962	No				Active	NA		14-02-2019	14-02-2019		44	1	1	3	1		

## I. Composition of Board of Directors

### Disclosure of notes on composition of board of directors explanatory

#### Whether the listed entity has a Regular Chairperson

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
5	Mr	Akshay Gupta	ACRPG3469L	01272080	Non-Executive - Non Independent Director	Not Applicable		17-10-1974	No				Active	NA		14-08-2019	14-08-2019		0	0	0	0	0		
6	Mr	Sujit Kumar Varma	ABFPV6831J	09075212	Non-Executive - Non Independent Director	Not Applicable		06-01-1961	No				Active	NA		27-09-2022	27-09-2022		0	1	0	6	3		
7	Mr	Ashok Kacker	AAHPK4548G	01647408	Non-Executive - Non Independent Director	Not Applicable		11-03-1951	No				Active	NA		27-09-2022	27-09-2022		0	2	1	2	1		

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	01445030	Pradip Dubhashi	Non-Executive - Independent Director	Chairperson	25-01-2011		
2	07106628	Smita Affinwalla	Non-Executive - Independent Director	Member	14-02-2019		
3	00994532	Namrata Kaul	Non-Executive - Independent Director	Member	14-02-2019		

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07106628	Smita Affinwalla	Non-Executive - Independent Director	Chairperson	14-02-2019		
2	00994532	Namrata Kaul	Non-Executive - Independent Director	Member	14-02-2019		
3	01445030	Pradip Dubhashi	Non-Executive - Independent Director	Member	09-11-2021		

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07106628	Smita Affinwalla	Non-Executive - Independent Director	Chairperson	14-02-2019		
2	00994532	Namrata Kaul	Non-Executive - Independent Director	Member	14-02-2019		
3	01445030	Pradip Dubhashi	Non-Executive - Independent Director	Member	31-03-2022		



Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00994532	Namrata Kaul	Non-Executive - Independent Director	Chairperson	14-02-2019		
2	01445030	Pradip Dubhashi	Non-Executive - Independent Director	Member	27-05-2015		
3	00046048	N. Jayakumar	Executive Director	Member	27-05-2015		

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

**Annexure 1**

**Annexure 1**

**III. Meeting of Board of Directors**

Disclosure of notes on meeting of board of directors explanatory								
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	12-08-2022				Yes	5	5	3
2		09-11-2022	88		Yes	7	6	2
3		10-12-2022	30		Yes	7	6	3

**Annexure 1**

**IV. Meeting of Committees**

Disclosure of notes on meeting of committees explanatory										
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
1	Audit Committee	12-08-2022				Yes	3	3	3	0
2	Audit Committee	28-10-2022	76			Yes	3	3	3	0
3	Audit Committee	09-11-2022	11			Yes	3	3	3	0
4	Nomination and remuneration committee	27-10-2022				Yes	3	3	3	0

**Annexure 1**

**V. Related Party Transactions**

Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	NA	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA	

**Annexure 1****VI. Affirmations**

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

**Annexure 1**

Sr	Subject	Compliance status
1	Name of signatory	Ajay Shah
2	Designation	Company Secretary and Compliance Officer

**Signatory Details**

Name of signatory	Ajay Shah
Designation of person	Company Secretary and Compliance Officer
Place	Mumbai
Date	19-01-2023

