

General information about company	
Scrip code	500337
NSE Symbol	PRIMESECU
MSEI Symbol	NOTLISTED
ISIN	INE032B01021
Name of the company	PRIME SECURITIES LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2020
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

<b>Declaration</b>					
St. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	Yes	No	Yes	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Table I - Summary Statement holding of specified securities											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group										
(B)	Public	19950	26515325			26515325	100	26515325		26515325	100
(C)	Non Promoter- Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	19950	26515325			26515325	100	26515325		26515325	100

<b>Table I - Summary Statement holding of specified securities</b>										
Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group									
(B)	Public	11134267		11134267	100					25237311
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total	11134267		11134267	100					25237311

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(2)	Foreign										
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	6	10400			10400	0.04	10400		10400	0.04
(e)	Foreign Portfolio Investors	3	110300			110300	0.42	110300		110300	0.42
(f)	Financial Institutions/ Banks	9	3303			3303	0.01	3303		3303	0.01
(i)	Any Other (specify)	7	900			900	0	900		900	0
Sub-Total (B)(1)		25	124903			124903	0.47	124903		124903	0.47
( 3 )	Non-institutions										
(a(i))	Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	19346	6325208			6325208	23.85	6325208		6325208	23.85
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	39	12565647			12565647	47.39	12565647		12565647	47.39
(b)	NBFCs registered with RBI	1	300			300	0	300		300	0
(e)	Any Other (specify)	539	7499267			7499267	28.28	7499267		7499267	28.28
Sub-Total (B)(3)		19925	26390422			26390422	99.53	26390422		26390422	99.53
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		19950	26515325			26515325	100	26515325		26515325	100
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total ( A+B+C2 )		19950	26515325			26515325	100	26515325		26515325	100
Total (A+B+C )		19950	26515325			26515325	100	26515325		26515325	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(2)	Foreign								
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)	0		0	0.03					100
(e)	0		0	0.29					100000
(f)	0		0	0.01					203
(i)	0		0	0					0
Sub-Total (B)(1)	0		0	0.33					100303
( 3 )	Non-institutions								
(a(i))	160000		160000	17.23					5182794
(a(ii))	3475000		3475000	42.61					12486147
(b)	0		0	0					300
(c)	7499267		7499267	39.84					7467767
Sub-Total (B)(3)	11134267		11134267	99.67					25137008
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)	11134267		11134267	100					25237311
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total ( A+B+C2 )	11134267		11134267	100					25237311
Total (A+B+C )	11134267		11134267	100					25237311

<b>Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.</b>							
Serial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	N. Jayakumar	Navin Khandelwal	Mahendra Jayantilal Shah	Kishore Hemandas Mulani	Mohit Oswal	Akshay Gupta	Harsh Anand Jain
PAN (II)	AADPN1741L	AILPK4709K	AAYFM7740H	AGPPM5831J	AADPO1072F	ACRPG3469L	AFBPJ0984J
No. of fully paid up equity shares held (IV)	3562987	1410000	1329472	827476	552436	470580	395000
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	3562987	1410000	1329472	827476	552436	470580	395000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	13.44	5.32	5.01	3.12	2.08	1.77	1.49
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	3562987	1410000	1329472	827476	552436	470580	395000
Class eg:y							
Total	3562987	1410000	1329472	827476	552436	470580	395000
Total as a % of Total Voting rights	13.44	5.32	5.01	3.12	2.08	1.77	1.49
No. Of Shares Underlying Outstanding convertible securities (X)	0	0	0	0	0	1200000	0
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	0	0	0	0	1200000	0
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	9.46	3.75	3.53	2.2	1.47	4.44	1.05
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in	3562987	1410000	1329472	827476	552436	470580	395000

dematerialized form (XIV)							
Reason for not providing PAN							
Reason for not providing PAN							



<b>Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.</b>			
Serial No.	8	9	
Name of the Shareholders (I)	Madhu Jayakumar Vadera	Chetan Rasiklal Shah	<a href="#">Click here to go back</a>
PAN (II)	AADPV7699B	APUPS9951D	Total
No. of fully paid up equity shares held (IV)	335996	274257	9158204
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	335996	274257	9158204
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.27	1.03	34.54
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	335996	274257	9158204
Class eg:y			
Total	335996	274257	9158204
Total as a % of Total Voting rights	1.27	1.03	34.54
No. Of Shares Underlying Outstanding convertible securities (X)	0	0	1200000
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	0	1200000
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.89	0.73	27.51
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	335996	274257	9158204

Reason for not providing PAN			
Reason for not providing PAN			

Any Other (specify)							
Searial No.	1	2	3	4	5	6	7
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Clearing Members	HUF
Category / More than 1 percentage	Category	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	Category	Category
Name of the Shareholders (I)		Judith Investments Private Limited	Gkk Capital Markets Private Limited	LKP Finance Limited	Team India Managers Ltd		
PAN (II)		AABCJ4889Q	AAACG9906E	AAACL2041P	AACCT6795L		
No. of the Shareholders (I)	122	1	1	1	1	30	259
No. of fully paid up equity shares held (IV)	6188937	1783497	1690000	433898	440000	22419	304495
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	6188937	1783497	1690000	433898	440000	22419	304495
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	23.34	6.73	6.37	1.64	1.66	0.08	1.15
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	6188937	1783497	1690000	433898	440000	22419	304495
Class eg:y							
Total	6188937	1783497	1690000	433898	440000	22419	304495
Total as a % of Total Voting rights	23.34	6.73	6.37	1.64	1.66	0.08	1.15
No. Of Shares Underlying Outstanding convertible securities (X)	0	0	0	0	0	0	0
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	0	0	0	0	0	0
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	16.44	4.74	4.49	1.15	1.17	0.06	0.81

Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	6188537	1783497	1690000	433898	440000	22419	304495
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)					
Serial No.	8	9	10	11	
Category	Non-Resident Indian (NRI)	Non-Resident Indian (NRI)	Overseas Corporate Bodies	Trusts	
Category / More than 1 percentage	Category	More than 1 percentage of shareholding	Category	Category	
Name of the Shareholders (I)		Koppara Sajeeve Thomas			<a href="#">Click here to go back</a>
PAN (II)		ANKPS5450R			Total
No. of the Shareholders (I)	126	1	1	1	539
No. of fully paid up equity shares held (IV)	954716	750000	28600	100	7499267
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	954716	750000	28600	100	7499267
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.6	2.83	0.11	0	28.28
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	954716	750000	28600	100	7499267
Class eg:y					
Total	954716	750000	28600	100	7499267
Total as a % of Total Voting rights	3.6	2.83	0.11	0	28.28
No. Of Shares Underlying Outstanding convertible securities (X)	0	0	0	0	0
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	0	0	0	0
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.54	1.99	0.08	0	19.93
Number of Locked in shares (XII)					

No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	952216	750000	0	100	7467767
Reason for not providing PAN					
Reason for not providing PAN					

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect\*: Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence