

**PRIME SECURITIES LIMITED**  
**Format of Holding of Specified Securities for the Quarter ended December 31, 2016**



1. **Name of Listed Entity:** Prime Securities Limited
2. **Scrip Code / Name of Scrip / Class of Security:** Code: 500337 (BSE), PRIMESECU (NSE) / Scrip Name: Prime Securities Limited / Class of Security: Equity Shares
3. **Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(C):** Regulation 31(1)(b) - Report for the Quarter ended December 31, 2016
4. **Declaration:**

Particulars		Yes	No
1.	Whether the Listed Entity has issued any partly paid up shares?		√
2.	Whether the Listed Entity has issued any Convertible Securities or Warrants?	√	
3.	Whether the Listed Entity has any shares against which depository receipts are issued?		√
4.	Whether the Listed Entity has any shares in locked-in?		√
5.	Whether any shares held by promoters are pledge or otherwise encumbered?		√

5. **Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculate as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No. of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class eg: X	Class eg: Y	Total								
(A)	Promoter & Promoter Group	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(B)	Public	24,592	26,473,525	-	-	26,473,525	100.00	26,473,525	-	26,473,525	100.00	341,600	100.00	-	-	NA	-	25,091,561
(C)	Non Promoter- Non Public	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	-	-
(C1)	Shares underlying DRs	-	-	-	-	-	N.A.	-	-	-	-	-	-	-	-	NA	-	-
(C2)	Shares held by Employee Trusts	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	-	-
	<b>Total</b>	<b>24,592</b>	<b>26,473,525</b>	<b>-</b>	<b>-</b>	<b>26,473,525</b>	<b>100.00</b>	<b>26,473,525</b>	<b>-</b>	<b>26,473,525</b>	<b>100.00</b>	<b>341,600.00</b>	<b>100.00</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>25,091,561</b>





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(f)	Financial Institutions/ Banks	-	7	3,200	-	-	3,200	0.01	3,200	-	3,200	0.01	-	0.01	-	-	-	-	100
(g)	Insurance Companies	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(h)	Provident Funds/ Pension Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(k)	Any Other	-	7	900	-	-	900	0.00	900	-	900	0.00	-	0.00	-	-	-	-	-
	Foreign Bank	-	7	900	-	-	900	0.00	900	-	900	0.00	-	0.00	-	-	-	-	-
	Sub-Total (B)(1)	-	23	224,800	-	-	224,800	0.85	224,800	-	224,800	0.85	-	0.84	-	-	-	-	200,200
(2)	Central Government/ State Government(s) / President of India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Sub-Total (B)(2)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(3)	Non-institutions																		
(a)	Individuals-																		
	i. Individual share-holders holding nominal share capital up to Rs. 2 lakhs	-	23,670	10,575,081	-	-	10,575,081	39.95	10,575,081	-	10,575,081	39.95	-	39.44	-	-	-	-	9,328,217
	ii. Individual share-holders holding nominal share capital in excess of Rs. 2 lakhs	-	58	11,817,416	-	-	11,817,416	44.64	11,817,416	-	11,817,416	44.64	341,600	45.34	-	-	-	-	11,738,016

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N. Jayakumar, Persons acting in concert: Madhu Jayakumar, Judith Investments Private Ltd	AADPN1 741L	-	4,863,569	-	-	4,863,569	18.37	4,863,569	-	4,863,569	18.37	-	18.14	-	-	-	-	4,863,569
Abhay Agarwal	AACPA1	-	1,001,000	-	-	1,001,000	3.78	1,001,000	-	1,001,000	3.78	-	3.73	-	-	-	-	1,001,000
Suresh M Hegde	AAAPH8 673C	-	801,828	-	-	801,828	3.03	801,828	-	801,828	3.03	-	2.99	-	-	-	-	801,828
Bakulesh Trambaklal Shah	ALQPS4 619A	-	500,000	-	-	500,000	1.89	500,000	-	500,000	1.89	-	1.86	-	-	-	-	500,000
Chetan Rasiklal Shah	APUPS9 951D	-	312,000	-	-	312,000	1.18	312,000	-	312,000	1.18	-	1.16	-	-	-	-	312,000
(b) NBFCs registered with RBI		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c) Employee Trusts		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d) Overseas Depositories (holding DRs)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d) Any Other		-	841	3,856,228	-	-	3,856,228	14.57	3,856,228	-	3,856,228	14.57	-	14.38	-	-	-	3,825,128
Bodies Corporate		-	254	2,131,380	-	-	2,131,380	8.05	2,131,380	-	2,131,380	8.05	-	7.95	-	-	-	2,131,380
Clearing Members		-	125	312,033	-	-	312,033	1.18	312,033	-	312,033	1.18	-	1.16	-	-	-	312,033
Hindu Undivided Family		-	341	432,888	-	-	432,888	1.64	432,888	-	432,888	1.64	-	1.61	-	-	-	432,888

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Non Resident Indians (Non Repat)	-	48	48,186	-	-	48,186	0.18	48,186	-	48,186	0.18	-	0.18	-	-	-	-	48,186
Non Resident Indians (Repat)	-	71	903,041	-	-	903,041	3.41	903,041	-	903,041	3.41	-	3.37	-	-	-	-	900,541
Koppara Sajeeve Thomas	ANKPS5 450R	-	750,000	-	-	750,000	2.83	750,000	-	750,000	2.83	-	2.80	-	-	-	-	750,000
Overseas Bodies Corporates	-	1	28,600	-	-	28,600	0.11	28,600	-	28,600	0.11	-	0.11	-	-	-	-	-
Trusts	-	1	100	-	-	100	0.00	100	-	100	0.00	-	0.00	-	-	-	-	100
Sub-Total (B)(3)	-	24,569	26,248,725	-	-	26,248,725	99.15	26,248,725	-	26,248,725	99.15	341,600	99.16	-	-	-	-	24,891,361
<b>Total Shareholding of Promoter and Promoter Group (B)= (B)(1)+(B)(2)+(B)(3)</b>	-	<b>24,592</b>	<b>26,473,525</b>	-	-	<b>26,473,525</b>	<b>100.00</b>	<b>26,473,525</b>	-	<b>26,473,525</b>	<b>100.00</b>	<b>341,600</b>	<b>100.00</b>	-	-	-	-	<b>25,091,561</b>
Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):																		
<b>None</b>																		
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																		
<b>Nil</b>																		

