



October 14, 2022

**Corporate Relationship Department**

**BSE Limited**

Phiroze Jeejeebhoy Towers, Dalal Street,  
Fort, Mumbai 400001

**Capital Markets-Listing**

**National Stock Exchange of India Ltd**

Exchange Plaza, 5<sup>th</sup> Floor, Plot No. C/1,  
G Block, Bandra Kurla Complex, Bandra (East),  
Mumbai 400051

Dear Sir,

**Sub: Regulation 76(1) of the Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018**

**Re: Stock Code: 500337 (BSE) / PRIMESECU (NSE)**

Pursuant to the provisions of Regulation 76(1) of SEBI (Depositories and Participants) Regulations, 2018, we are enclosing herewith the Reconciliation of Share Capital Report dated October 12, 2022 for Quarter ended September 30, 2022 from M/s. Pramod Shah & Associates, Practicing Company Secretaries.

This is for your information and records.

Thanking you,

Yours faithfully,

For **Prime Securities Limited**



**Ajay Shah**  
**Company Secretary**  
(ACS-14359)

**Prime Securities Limited**

1109 / 1110, Maker Chambers V,  
Nariman Point, Mumbai 400021  
CIN: L67120MH1982PLC026724

[www.primesec.com](http://www.primesec.com)

Tel : +91-22-6184 2525

Fax : +91-22-2497 0777

# Pramod S. Shah & Associates

Practising Company Secretaries

3rd Floor, LA-SHEWA Bldg., Next to Fedex, 233, P. D' Mello Road, Opp. St. George Hospital, Near CST, Mumbai - 400 001.  
Tel. : 91-22-2271 7700 • Email : saurabhshah@psaprofessionals.com • Website : cpsa.co.in

## RECONCILIATION OF SHARE CAPITAL AUDIT REPORT

1. For Quarter Ended: 30.09.2022
2. ISIN: INE032B01021
3. Face Value: INR 5 /-
4. Name of the Company: Prime Securities Limited
5. Registered Office Address: 1109/ 1110, Maker Chamber V, Nariman Point, Mumbai, Maharashtra, 400021
6. Correspondence Address: 1109/ 1110, Maker Chamber V, Nariman Point, Mumbai, Maharashtra, 400021
7. Telephone & Fax Nos. Tel.: 022-61842525, Fax: 022-24970777
8. Email address: prime@primesec.com
9. Names of the Stock Exchanges where the company's securities are listed: BSE Ltd. And National Stock Exchange of India Limited

	Number of shares	% of Total Issued Cap.
10. Issued Capital	3,26,07,025	100.00%
11. Listed Capital (Exchange-wise) (as per company records)	3,18,57,825	97.71% on BSE and NSE
12. Held in dematerialised form in CDSL	98,33,474	30.16%
13. Held in dematerialised form in NSDL	2,08,02,587	63.80%
14. Physical	12,21,764	03.75%
15. Total No.of shares (12+13+14)	3,18,57,825	97.71%

16 (1). Reasons for difference if any, between (10 & 11):

7,48,600	Shares have been forfeited but not reissued
600	Shares have been reissued but not subscribed
7,49,200	Total Difference between 10 & 11



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16 (2). Reasons for difference if any, between (10 & 15):

7,48,600	Shares have been forfeited but not reissued
600	Shares have been reissued but not subscribed
7,49,200	Total Difference between 10 & 11

16 (3). Reasons for difference if any, between (11 & 15): None

17. Certifying the details of changes in share capital during the quarter under consideration as per Table below:

Particulars***	No. of shares	Applied/ Not Applied for listing	Stock Exchanges (Specify Names)	whether intimated to CDSL	whether intimated to NSDL	In-principal approval pending for SE (Specify Names)
ESOP	3,45,500	Yes	BSE & NSE	Yes	Yes	No

\*\*\* Rights, Bonus, Preferential Issue, ESOPs, Amalgamation, Conversion, Buyback, Capital Reduction, Forfeiture, any other (to specify).

18. Register of Members is updated (Yes/ No), If not, updated upto which date: YES

19. Reference of previous quarter with regards to excess dematerialised shares, if any: The Company has further dematerialized 24,450 Equity shares for the period from 01/07/2022 to 30/09/2022

20. Has the company resolved the matter mentioned in point no.19 above in the current quarter? If not, reason why: N.A.

21. Mentioned the total no.of requests, if any, confirmed after 30 days and the total no. of requests pending beyond 30 days with the reasons for delay:

Total No. of demat requests	No. of requests	No. of shares	Reasons for delay
Confirmed after 30 Days	N.A.	N.A.	N.A.
Pending for more than 30 days	N.A.	N.A.	N.A.

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22. Name, Telephone & Fax No. of the Compliance Officer of the Co.:

Mr. Ajay Shah - Compliance Officer and Company Secretary. Tel. & Fax No.: 022 - 61842525,  
022 - 24970777

23. Name, Address, Tel. & Fax No., Regn. no. of the Auditor: Pramod S. Shah - Partner  
Pramod S. Shah & Associates

Address: 3rd Floor, LA-SHEWA Bldg., Next to Fedex, 233, P D' Mello Road, Opp. St. George  
Hospital, Near CST, Mumbai-400 001. Tele fax: 022 22717700

FCS: 334 C. P. No.: 3804

24. Appointment of common agency for share registry work:

Link Intime India Pvt. Ltd

Address: C 101, 247 Park, L.B.S. Marg, Vikhroli (West), Mumbai, Maharashtra, 400083

25. Any other detail that the auditor may like to provide. (e.g. BIFR company, delisting from SE,  
company changed its name etc.): N.A.

Date: October 12, 2022

Place: Mumbai

Name of the Auditor:

Pramod S. Shah & Associates



Pramod S. Shah

Senior Partner

Membership No.: F334

C. P. No.: 3804

UDIN: F000334D001203118