

Date & Time of Download : 14/05/2022 09:16:18

BSE ACKNOWLEDGEMENT

Acknowledgement Number	4034500
Date and Time of Submission	5/14/2022 9:16:05 AM
Scripcode and Company Name	500337 - PRIME SECURITIES LTD.
Subject / Compliance Regulation	Compliances-Reg. 39 (3) - Details of Loss of Certificate / Duplicate Certificate
Submitted By	Prime Securities Limited
Designation	Company Secretary & Compliance Officer

Disclaimer : - Contents of filings has not been verified at the time of submission.



May 14, 2022

BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street,
Fort, Mumbai 400001

National Stock Exchange of India Limited
Exchange Plaza, 5th Floor, Plot No. C/1,
G Block, Bandra Kurla Complex,
Bandra (East), Mumbai 400051

Dear Sir,

Sub: Regulation 39(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Re: Stock Code: 500337 (BSE) / PRIMESECU (NSE)

Pursuant to the provisions of Regulation 39(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we wish to inform you that the Company has received information from Registrar & Share Transfer Agent, M/s. Link Intime India Private Limited, regarding loss of share certificate / stop transfer.

This is for your information and records.

Thanking you,

Yours faithfully,
For **Prime Securities Limited**

Ajay Shah
Company Secretary
(ACS-14359)

Ajay Shah

From: instamisreports@linkintime.co.in
Sent: 13 May 2022 04:02
To: ajay@primesec.com; vijendra@primesec.com
Cc: ganapati.haligouda@linkintime.co.in
Subject: Stop Transfer Intimation under Regulation 39(3) of SEBI LODR (2015)
Attachments: ID1867.pdf

Dear Team,

As per the Regulation 39(3) of SEBI (Listing obligations and disclosure requirement), Regulation 2015, we are sending herewith information pertaining to Stop Transfer which we have already noted in our database. Please find attached letter received from the Investor.

Client Name : Prime Securities Limited

Stop Transfer Date	Folio No	Name	Certificate No.	Distinctive No.	No. of Shares	Reason
12 May 2022	A011114	ASHOK KUMAR	2501	359026 - 359125	100	Lost By Holder

Regards
Link Intime India Pvt Ltd.

This is an auto generated report.

LETTER RECEIVED
VIA EMAIL

Inward

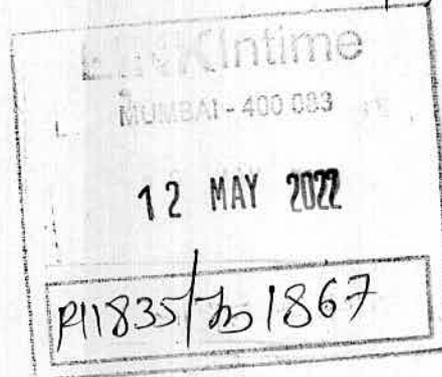
From: Anthony Nadar <anthony.nadar@linkintime.co.in>
Sent: Monday, May 9, 2022 3:16 PM
To: Inward Linkintime
Cc: SAYALI BORCHATE
Subject: INWARD FOR REVAL.
Attachments: ASHOKDU.pdf

DEAR TEAM,

Client Name: Prime Securities Limited
Folio: A011114
Name: ASHOK KUMAR

KINDLY INWARD FOR DUPLICATE PROC

REGARDS
AK



13686

From
ASHOK KUMAR
No.108, Kundan Market,
D.S.Lane, Chickpet,
Bangalore-560053.

Bangalore
DT.24.1.2022

To
Link Intime India Pvt Ltd.,
Unit:INDUS IND BANK
Bombay.

Dear Sir,

Ref: Folio no.A011114
Sub:Loss of shares.

With reference to the above.As I have lost the above mentioned shares

I hereby sending you the following mentioned documents for change of address, registering my new signature and nominee. I hereby would like to inform you that my aadhar address is of my residence and send all the communication to the above mentioned address.

- 1.ISR 1, 2 AND 13
- 2.Pan card aadhar card Xerox.
- 3. CML COPY

I hereby request your good selves to do needful and oblige.

Thanking you

OLD SIGNATURE

Ashok Kumar

Ashok Kumar

yours faithfully MR
SIGNATURE

Ashok

✓

Link Intime India Pvt. Ltd. - KYC	
Scrutiny	18/8
Signature Verification	283
Signature Tally	Y N
Scanning	
Data Entry	

001191

Form ISR – 1

(see SEBI circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2021/655 dated November 03, 2021 on Common and Simplified Norms for processing investor's service request by RTAs and norms for furnishing PAN, KYC details and Nomination)

REQUEST FOR REGISTERING PAN, KYC DETAILS OR CHANGES / UPDATION THEREOF [For Securities (Shares / Debentures / Bonds, etc.) of listed companies held in physical form]

Date: 28/11/2022

A. I / We request you to Register / Change / Update the following (Tick relevant box)

<input checked="" type="checkbox"/> PAN	<input checked="" type="checkbox"/> Postal Address
<input checked="" type="checkbox"/> Bank details	<input checked="" type="checkbox"/> E-mail address
<input checked="" type="checkbox"/> Signature	<input checked="" type="checkbox"/> Mobile number
Demat Account details	

B. Security Details:

Name of the Issuer Company	Indus Ind Bank Ltd / Folio No.: A 011114
Name(s) of the Security holder(s) as per the Certificate(s)	1. ASHOK KUMAR 2. 3.
Number & Face value of securities	Face value Rs 10/- for 100 Shares
Distinctive number of securities	From _____ To _____

C. I / We are submitting documents as per Table below (tick as relevant, refer to the instructions):

	<input checked="" type="checkbox"/>	Document / Information / Details	Instruction / Remark
1		PAN of (all) the (joint) holder(s)	
		PAN Whether it is Valid (linked to Aadhaar): <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> ALPPA 6596D PAN shall be valid only if it is linked to Aadhaar by March 31, 2021* For Exemptions / Clarifications on PAN, please refer to Objection Memo in page 4

2	Demat Account Number	✓ Also provide Client Master List (CML) of your Demat Account, provided by the Depository Participant.
3	Proof of Address of the first holder	Provide any one of the documents, only if there is change in the address; <ul style="list-style-type: none"> • Client Master List (CML) of your Demat Account, provided by the Depository Participant • Valid Passport / Ration Card / Registered Lease or Sale Agreement of Residence / Driving License / Flat Maintenance bill. • Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old. • Identity card / document with address, issued by any of the following: Central/State Government and its Departments, Statutory / Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions. • For FII / sub account, Power of Attorney given by FII / sub-account to the Custodians (which are duly notarized and / or apostilled or consularised) that gives the registered address should be taken. • ✓ The proof of address in the name of the spouse
4	Bank details	Provide the copy of the bank statement with details of bank name, branch, account number and IFS Code or copy of cheque leaf. Alternatively, Bank details available in the CML will be updated in the folio.
5	E-mail address	✓ <u>ashalekshiy924@gmail.com</u> Alternatively the e-mail address available in the CML will be updated in the folio
6	Mobile	✓ <u>9341185840</u> Alternatively the mobile number available in the CML will be updated in the folio
7	Specimen Signature	<ul style="list-style-type: none"> • Provide banker's attestation of the signature of the holder(s) as per Form ISR - 2 in SEBI circular SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2021/655 dated November 03, 2021) and • Original cancelled cheque
8	Nomination**	<ul style="list-style-type: none"> • Providing Nomination: Please submit the duly filled up Nomination Form (SH-13) or 'Declaration to Opt out of Nomination' as per Form ISR - 3, in SEBI circular SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2021/655 dated November 03, 2021 • Change in Existing Nomination: Please use Form SH-14 in SEBI circular SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2021/655 dated November 03, 2021 • Cancellation of Existing Nomination: Please use Form SH-14 and Form ISR - 3

* or any date as may be specified by the CBDT

** Nomination (Form SH-13 or SH-14) / 'Declaration to Opt-Out of nomination' (Form ISR - 3), has to be furnished by the holder(s) separately for each listed company.

Mode of submission of documents to the RTA

Please use any one of the following mode;

1. In Person Verification (IPV): by producing the originals to the authorized person of the RTA, who will retain copy(ies) of the document(s)
2. In hard copy: by furnishing self-attested photocopy(ies) of the relevant document, with date
3. Through e-mail address already registered with the RTA, with e-sign of scanned copies of documents
4. Service portal of the RTA with e-sign with scanned copies of documents, if the RTA is providing such facility

Note

- It is mandatory for holders of physical securities in listed company to furnish PAN, full KYC details (address proof, bank details, e-mail address, mobile number) and Nomination (for all the eligible folios).
- Upon receipt or up-dation of bank details, the RTA automatically, pay electronically, all the moneys of / payments to the holder that were previous unclaimed / unsuccessful.
- RTA shall update the folio with PAN, KYC details and Nominee, within seven working days of its receipt. However, cancellation of nomination, shall take effect from the date on which this intimation is received by the company / RTA.
- RTA shall not insist on Affidavits or Attestation / Notarization or indemnity for registering / up-dating / changing PAN, KYC details and Nomination.

Authorization: I / We authorise you (RTA) to update the above PAN and KYC details in my / our folio (s) AD111114 in which I / We are the holder(s) (strike off what is not applicable).

Declaration: All the above facts stated are true and correct.

	Holder 1	Holder 2	Holder 3
Signature	✓ Ashok	✓	✓
Name	✓ ASHOK KUMAR	✓	✓
Full postal address	✓ SS/12, TFI, Park Castle Residency, 12th cross Hanumanth Nagar 99Vipura Bangalore		
PIN	✓ 560019		

Objection Memo that can be raised by the RTA

(only if the relevant document / details is / are not available in the folio or if there is a mismatch / discrepancy in the same or change thereof).

Note

RTAs shall raise all objections, if any / at all, in one instance only; the RTA shall not raise further objections on the same issue again and again, after the holder / claimant furnishes all the prescribed documents and details, unless there is any deficiency / discrepancy in the same.

No.	Item	Documents / Details to be provided to the RTA by the holder(s) / claimant(s)
1	PAN – Exceptions and Clarification	'Exemptions/clarifications to PAN', as provided in clause D to 'Instructions/Check List for Filing KYC Forms' in Annexure – 1 to SEBI circular No. MIRSD/SE/Cir-21/2011 dated October 05, 2011 on Uniform Know Your Client (KYC) Requirements for the Securities Market, shall also applicable for holder(s) / claimant(s) of securities held in physical mode.
2	Minor mismatch in Signature - minor	The RTA shall intimate the holder at the existing address, seeking objection, if any, within 15 days
3	Major mismatch in Signature or its non-availability with the RTA	<ul style="list-style-type: none"> • Banker's attestation of the signature of the holder(s) as per Form ISR – 2 • Original cancelled cheque
4	Mismatch in Name	<p>Furnish any one of the following documents, explaining the difference in names;</p> <ul style="list-style-type: none"> • Unique Identification Number (UID) (Aadhaar) • Valid Passport • Driving license • PAN card with photograph • Identity card / document with applicant's Photo, issued by any of the following: Central / State Government and its Departments, Statutory / Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions • Marriage certificate • Divorce decree
5	Present address of the holder is not matching with the address available in the folio	<p>RTA shall issue intimation to both the old and new addresses. If the letters sent to either the old and or new addresses is / are undelivered or if there is an objection in response to this letter, then provide any one of the following;</p> <ul style="list-style-type: none"> • any one of the documents in row 3 in Table C, reflecting the old address or • Counterfoil of dividend warrant received from the company or • Bank statement showing the credit of previous dividend received <p>The above procedure will be applicable for request for change in address of the holder also</p>

(Page 4 is for information to investors; print out of the same is not required)

