

Date: \_\_\_\_\_

**Prime Securities Limited**

1109/1110, Maker Chambers V,

Nariman Point, Mumbai 400021

Maharashtra, India

Email: [prime@primesec.com](mailto:prime@primesec.com)

Dear Sir / Ma'am,

**Sub: Declaration regarding Category and Beneficial Ownership of Equity Shares**

**Re: PAN:** \_\_\_\_\_ (Please mention your permanent account number) (unique identification number) provided by Indian Tax Authority, if any

**Folio Number / DP ID / Client ID:** \_\_\_\_\_

With reference to the captioned subject and in relation to the appropriate withholding of taxes on the Dividend payable to me / us by Prime Securities Limited ("**the Company**"), I / We hereby declare as under:

I / We, \_\_\_\_\_ (Full name of the member),  
\_\_\_\_\_ (number of shares), holding equity share(s) of the Company, hereby declare that I am / we are tax resident of India for the period April 2021 to March 2022 (Indian Fiscal Year).

We hereby declare that (Select Applicable):

☐ We are an Insurance Company, shareholders to whom Section 194 of the Income Tax, 1961 does not apply and we have full beneficial interest in the equity share(s) held in the Company and we are submitting a self-attested copy of PAN card and copy of registration certification issued by the IRDAI.

OR

☐ We are Mutual Fund specified in Section 10(23D) of the Income-tax Act, 1961 and are the beneficial owners of the equity share(s) held in the Company; and we are submitting self-attested copy of PAN card and registration certificate.

OR

☐ We are Alternative Investment fund (AIF) established in India and are the beneficial owner of the equity share(s) held in the Company and our income is exempt under Section 10(23FBA) of the Act and are governed by Securities and Exchange Board of India regulations as Category I or Category II AIF; and we are submitting self-attested copy of the PAN card and registration certificate issued by SEBI.

OR

- ☐ We are Recognised Provident Funds, Approved Superannuation Fund and Approved Gratuity Fund and we are specified in Circular No. 18 / 2017 issued by Central Board of Direct Taxes (CBDT) and we are submitting self-attested copy of PAN card and registration certificate.

OR

- ☐ We are National Pension Scheme and we are specified in Section 10(44) of the Income Tax, 1961 and we are submitting self-attested copy of PAN card and registration certificate.

OR

- ☐ We are \_\_\_\_\_ (specify category of the entity) and are the beneficial owner of the equity share(s) held in the Company and are not subject to withholding tax under Section 196 of the Income Tax, 1961 and we are submitting self-attested copy of the documentary evidence supporting the exemption status along with self-attested copy of PAN card and registration certificate.

## VERIFICATION

I / We \_\_\_\_\_ (Signatory Name) , in my capacity as \_\_\_\_\_ (Authorised Signatory\*) of \_\_\_\_\_ (Name of the Shareholder) confirm that the above is true and I / we shall be solely responsible for any income-tax consequences (tax, including interest and penalty) arising under tax Income Tax Act, 1961 in relation to the dividend income to be received from the Company. Further, I / we agree and undertake: (1) to indemnify the Company in relation to any Income tax consequences (tax, including interest and penalty) arising under the Income Tax Laws if any of the above is questioned and held otherwise by the Income Tax Authorities; (2) to provide all the necessary documentation as sought by the Indian Tax Authorities in this regard.

|                             |                          |
|-----------------------------|--------------------------|
| Place: _____<br>Date: _____ | Entity _____ Name: _____ |
|                             | _____                    |
|                             | Signature: _____         |
|                             | Name: _____              |
|                             | Designation: _____       |

\* In case of any Authorised Signatory being other than Director / Managing Director, please attach the valid Power of Attorney authorising the individual as an Authorised Signatory.