PRIME SECURITIES LIMITED

Format of Holding of Specified Securities for the Quarter ended September 30, 2016



1. Name of Listed Entity: Prime Securities Limited

2. Scrip Code / Name of Scrip / Class of Security: Code: 500337 (BSE), PRIMESECU (NSE) / Scrip Name: Prime Securities Limited / Class of Security: Equity Shares

3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(C): Regulation 31(1)(b) - Report for the Quarter ended September 30, 2016

4. Declaration:

	Particulars	Yes	No
1.	. Whether the Listed Entity has issued any partly paid up shares?		v
2.	. Whether the Listed Entity has issued any Convertible Securities or Warrants?	v	
3.	. Whether the Listed Entity has any shares against which depository receipts are issued?		v
4.	. Whether the Listed Entity has any shares in locked-in?		٧
5.	Whether any shares held by promoters are pledge or otherwise encumbered?		v

5. Table I - Summary Statement holding of specified securities

Cate- gory (I)	Category of shareholder (II)	Nos. of share-	No. of fully paid up equity shares	No. of Partly paid-up	No. of shares underlying	Total nos. shares held (VII) =	Share- holding as a % of		of secu	ities (IX)		No. of Shares Underlying	Shareholding, as a % assuming full	Lo	nber of cked in hares	S	mber of hares	Number of equity shares held in
(1)	(II)	(III)	held (IV)	equity shares held (V)	Depository Receipts (VI)	(VII) = (IV)+(V)+ (VI)	a % of total no. of shares (calculate d as per SCRR, 1957) (VIII) As a % of (A+B+C2	No. of Class eg: X	Voting Class eg: Y	Rights Total	Total as a % of (A+B+ C)	Outstanding convertible securities (including Warrants) (X)	conversion of convertible securities (as a percentage of	(XII) As a %	oth encu (. No.	dged or nerwise mbered XIII) As a % of total Shares held (b)	demateria- lized form (XIV)
(A)	Promoter & Promoter Group	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(B)	Public	24,460	26,473,525	-	-	26,473,525	100.00	26,473,525	-	26,473,525	100.00	842,200	100.00	-	-	NA	-	25,087,661
(C)	Non Promoter- Non Public	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	-	-
(C1)	Shares underlying DRs	-	-	-	-	-	N.A.	-	-	-	-	-	-	-	-	NA	-	-
(C2)	Shares held by Employee Trusts	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	-	-
	Total	24,460	26,473,525	-	-	26,473,525	100.00	26,473,525	-	26,473,525	100.00	842,200.00	100.00	-	-	-	-	25,087,661

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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of	PAN (II)	Nos. of share-	paid up	No. of Partly	No. of shares	Total nos. shares held	holding as	Number of V		ghts held in e ities (IX)	each class	Shares	Shareholding, as a %	Lo	mber of cked in	S	nber of hares	Number of equity shares
	the Share-			equity shares	paid-up	underlying		a % of	No. of	f Voting	Rights	Total as	Underlying	assuming full		hares	-	dged or	held in
	holders (I)		(III)	held (IV)	equity shares held	Depository Receipts (VI)	(IV)+(V)+ (VI)	total no. of shares (calculate	Class eg: X	Class eg: Y	Total	a % of (A+B+ C)	Outstanding convertible securities	conversion of convertible securities (as a		(XII)	encu (2	erwise imbered <u>XIII)</u>	demateria- lized form (XIV)
					(V)			d as per SCRR, 1957) (VIII) As a % of (A+B+C2					(including Warrants) (X)	percentage of diluted share capital) (XI)= (VII)+(X) As a % of	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(1)	Indian																		
	Individuals/ Hindu undivided Family	-	-	-	-	-	-	-	-	-	-	-	_	-	-	-	-	-	-
	Central Govern- ment/State Govern- ment(s)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c)	Financial Institutions/ Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Any Other (specify)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Sub-Total (A)(1)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Foreign																		
	Individuals (Non- Resident Individuals/ Foreign Individuals)	-	-	-	-	-	_	-	-	-	-	-	-	-	-	-	-	-	-
(b)	Govern- ment	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Institutions	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Foreign Portfolio Investor	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

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(e) Any Other (specify)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Sub-Total (A)(2)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Details of Shares Nil	which rem	ain uncla	imed may be gi	iven hear a	long with de	tails such as m	umber of sha	areholders, out	standing	shares held in	demat/un	claimed suspe	nse account, voti	ng rig	hts which	are fr	ozen etc.	

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Share- holders (I)	PAN (II)	Nos. of share- holders (III)	No. of fully paid up equity shares held (IV)		No. of shares underlying Depository Receipts (VI)		Share- holding as a % of total no. of shares (calculate d as per SCRR, 1957) (VIII) As a % of (A+B+C2	No. of Class eg: X	0	ities (IX)	Total as a % of (A+B+ C)	No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of	Lo s		S plea oth encu No.	dged or nerwise umbered VIII As a %	Number of equity shares held in demateria- lized form (XIV)
	Institutions																		
(a)	Mutual Funds	-	6	10,400	-	-	10,400	0.04	10,400	-	10,400	0.04	-	0.04	-	-	-	-	100
(b)	Venture Capital Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c)	Alternate Investment Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Foreign Venture Capital Investors	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e)	Foreign Portfolio Investors	-	2	10,300	-	-	10,300	0.04	10,300	-	10,300	0.04	-	0.04	-	-	-	-	-

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	Financial Institutions/	-	7	3,200	-	-	3,200	0.01	3,200	-	3,200	0.01	-	0.01	-	-	-	-	100
	Banks																		
(g) I	Insurance Companies	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Provident		-							_		_		-			-		
	Funds/	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Pension																		
(1-)	Funds Any Other	-	7	900	-	-	900	0.00	900	_	900	0.00	-	0.00	-	-	-	-	
	Foreign	-	7	900	-	-	900	0.00	900	-	900	0.00	-	0.00	-	-	-	-	-
H	Bank	-			-	-				-			-		-	-	-	-	-
	ub-Total (B)(1)	-	22	24,800	-	-	24,800	0.09	24,800	-	24,800	0.09	-	0.09	-	-	-	-	200
	Central	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Govern-																		
r	ment/ State																		
	Govern-																		
	ment(s) /																		
	President of																		
	ndia																		
S	ub-Total	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	(B)(2)																		
(3) 1																			
	nstitutions																		
(a) I	ndividuals-																		
i	. Individual	-	23,423	10,693,382	-	-	10,693,382	40.39	10,693,382	-	10,693,382	40.39	-	39.15	-	-	-	-	9,442,618
	share-		ŕ																
	nolders																		
	nolding																		
	nominal																		
	share																		
	capital up to Rs. 2 lakhs																		
1	KS. 2 Takins																		
i	i.	-	55	10,137,955	-	-	10,137,955	38.29	10,137,955	-	10,137,955	38.29	842,200	40.20	-	-	-	-	10,058,555
	ndividual	_	55	10,107,999			10,107,700	56.27	10,107,700		10,157,755	50.27	0.12,200	10.20					10,000,000
	share-																		
	nolders																		
	nolding																		
	nominal																		
	share																		
	capital in																		
	excess of																		
I	Rs. 2 lakhs																		

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	Persons acting in concert: Judith Investments Private Ltd, Vinay Motwani, Veena Motwani	AADPN1 741L	-	5,398,256	-	-	5,398,256	20.39	5,398,256	-	5,398,256	20.39	363,300	21.09	_	-	-	-	5,398,256
	Abhay Agarwal	AACPA1	-	1,000,000	-	-	1,000,000	3.78	1,000,000	-	1,000,000	3.78	363,300	4.99	-	-	-	-	1,000,000
]	Bakulesh Trambaklal Shah	ALQPS4 619A	-	500,000	-	-	500,000	1.89	500,000	-	500,000	1.89	-	1.83	-	-	-	-	500,000
1	NBFCs registered with RBI	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Employee Trusts	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
I t	Overseas Deposi- tories (holding DRs)	-	-	-	-	-	-	-	-	-	_	-	-	-	-	-	-	-	-
(d) /	Any Other	-	960	5,617,388	-	-	5,617,388	21.22	5,617,388	-	5,617,388	21.22	-	20.56	-	-	-	-	5,586,288
	Bodies Corporate	-	245	2,798,589	-	-	2,798,589	10.57	2,798,589	-	2,798,589	10.57	-	10.25	-	-	-	-	2,798,589
1	Yes Capital Venture Private Ltd	AAACY4 277C	-	801,828		-	801,828	3.03	801,828	-	801,828	3.03	-	2.94	-	-	-	-	801,828
	Clearing Members	-	243	1,347,614	-	-	1,347,614	5.09	1,347,614	-	1,347,614	5.09	-	4.93	-	-	-	-	1,347,614

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<u><u> </u></u>			265.000		1	265.000	1.00	265.000		265.000	1.00		1.24					265.000
Globalwort h Securities	AADCG7	-	365,000	-	-	365,000	1.38	365,000	-	365,000	1.38	-	1.34	-	-	-	-	365,000
Ltd.	624G																	
Hindu	-	357	494,124	-	-	494,124	1.87	494,124	-	494,124	1.87	-	1.81	-	-	-	-	494,12
Undivided																		
Family																		
Non	-	45	53,066	-	-	53,066	0.20	53,066	-	53,066	0.20	-	0.19	-	-	-	-	53,06
Resident																		
Indians																		
(Non Repat)																		
Non	-	68	895,295	-	-	895,295	3.38	895,295	-	895,295	3.38	-	3.28	-	-	-	-	892,795
Resident																		
Indians																		
(Repat)																		
Koppara	ANIZDOS	-	750,000	-	-	750,000	2.83	750,000	-	750,000	2.83	-	2.75	-	-	-	-	750,00
Sajeeve Thomas	ANKPS5 450R																	
Overseas	4501	1	28,600	_		28,600	0.11	28,600	-	28,600	0.11		0.10	_	-	_		
Bodies	_	1	28,000	_	_	28,000	0.11	28,000	-	28,000	0.11	-	0.10	_	_	_		
Corporates																		
Trusts	-	1	100	-	-	100	0.00	100	-	100	0.00	-	0.00	-	-	-	-	100
Sub-Total	-	24,438	26,448,725	-	-	26,448,725	99.91	26,448,725	-	26,448,725	99.91	842,200	99.91	-	-	-	-	25,087,461
(B)(3)							100.00				100.00		100.00					
Total	-	24,460	26,473,525	-	-	26,473,525	100.00	26,473,525	-	26,473,525	100.00	842,200	100.00	-	-	-	-	25,087,661
Shareholding of Promoter																		
and Promoter																		
Group (B)=																		
B)(1)+(B)(2)+																		
(B)(3)																		
	areholders a	acting as p	persons in Cond	cert includi	ng their Sha	reholding (No.	and %):											
one																		
etails of Shares	s which ren	nain uncla	imed may be gi	iven hear a	long with de	tails such as nu	mber of sha	areholders, out	standing	shares held in	demat/un	claimed susper	nse account, voti	ng rig	hts which	are fro	ozen etc.	
:1																		

Nil

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Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Share- holders (I)	PAN (II)	Nos. of share- holders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)		Share- holding as a % of total no. of shares (calculate d as per SCRR, 1957) (VIII) As a % of (A+B+C2	No. of Class eg: X	of secur f Voting	ities (IX)	Total as	No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)		Lo s	mber of cked in hares XII) As a % of total Shares held (b)	Si plea oth encu No.	mber of hares dged or werwise mbered XIII As a % of total Shares held (b)	Number of equity shares held in demateria- lized form (XIV)
(1	Custodian/ DR Holder	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Pi S	Total Non- omoter- Non Public hareholding (C)= C)(1)+(C)(2)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-