Format of Holding of Specified Securities for the Quarter ended March 31, 2017



1. Name of Listed Entity: Prime Securities Limited

2. Scrip Code / Name of Scrip / Class of Security: Code: 500337 (BSE), PRIMESECU (NSE) / Scrip Name: Prime Securities Limited / Class of Security: Equity Shares

3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(C): Regulation 31(1)(b) - Report for the Quarter ended March 31, 2017

4. Declaration:

	Particulars	Yes	No
1	. Whether the Listed Entity has issued any partly paid up shares?		٧
2	. Whether the Listed Entity has issued any Convertible Securities or Warrants?	٧	
3	. Whether the Listed Entity has any shares against which depository receipts are issued?		٧
4	. Whether the Listed Entity has any shares in locked-in?		٧
5	. Whether any shares held by promoters are pledge or otherwise encumbered?		٧

5. Table I - Summary Statement holding of specified securities

Cate-	Category of	Nos. of	No. of fully	No. of	No. of	Total nos.	Share-	Number of V	oting Ri	ights held in e	ach class	No. of Shares	Shareholding,	Nu	mber of	Nu	mber of	Number of
gory	shareholder	share-	paid up	Partly	shares	shares held	holding as		of secur	rities (IX)		Underlying	as a %	Lo	cked in	S	hares	equity shares
(I)	(II)	holders	equity shares	paid-up	underlying	(VII) =	a % of	No. of	f Voting	Rights	Total as	Outstanding	assuming full	S	hares	ple	dged or	held in
		(III)	held (IV)	shares	Depository Receipts	(IV)+(V)+ (VI)	total no.	Class eg: X	Class eg: Y	Total	a % of (A+B+	convertible securities	conversion of convertible	((XII)	encu	nerwise imbered	demateria- lized form
				held (V)	(VI)		(calculate d as per				C)	(including Warrants)	securities (as a percentage of	No.	As a %		XIII) As a %	(XIV)
				(*)			SCRR,					(X)	diluted share	(a)	of total		of total	
							1957)					(21)	capital)	(4)	Shares	(a)	Shares	
							(VIII)						(XI)=		held		held	
							As a % of						(VII)+(X)		(b)		(b)	
(4)	T						(A±B±C2						As a % of					
(A)	Promoter &	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(B)	Promoter Group Public	24,377	2,65,15,325		_	2,65,15,325	100.00	2,65,15,325	_	2,65,15,325	100.00	2,53,200	100.00	_	_	NA	_	2,51,37,561
(C)	Non Promoter- Non		2,03,13,323		-	2,03,13,323	100.00	2,03,13,323	_	2,03,13,323	100.00	2,33,200	100.00	_	_	NA	-	2,31,37,301
(C)	Public	-	-	1	-	-	-	1	-	-	_	-	-	•	-	NA	-	-
(C1)	Shares underlying	-	-	-	-	-	N.A.	-	-	-	-	-	-	-	-	NA	-	-
	DRs																	
(C2)	Shares held by	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	-	-
	Employee Trusts																	
	Total	24,377	2,65,15,325	-	-	2,65,15,325	100.00	2,65,15,325	-	2,65,15,325	100.00	2,53,200.00	100.00	-	-	-	-	2,51,37,561



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the Share- holders (I)	PAN (II)	Nos. of share- holders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Share-holding as a % of total no. of shares (calculate d as per SCRR, 1957) (VIII) As a % of (A+B+C2		_	rities (IX)	Total as a % of (A+B+ C)	No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	conversion of convertible securities (as a	Lo s	mber of cked in hares (XII) As a % of total Shares held (b)	S ple oth encu	mber of hares dged or nerwise mbered XIII) As a % of total Shares held (b)	Number of equity shares held in demateria- lized form (XIV)
(1)	Indian)											
(a)	Individuals/ Hindu undivided Family	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Central Govern- ment/State Govern- ment(s)	-	-	-	1	-	-	-	-	1	-	-	-	-	1	-	-	-	-
	Financial Institutions/ Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Any Other (specify)	-	-	-	ı	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Sub-Total (A)(1)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Foreign																		
	Individuals (Non- Resident Individuals/ Foreign Individuals)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Govern- ment	-	-	-	-	=	=	-	-	-	-	-	=	-	ı	-	-	-	-
(c)	Institutions		-	-	-	-	-	-	-	_	-	-	-	-	-	_	-	_	-
	Foreign Portfolio Investor	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

Format of Holding of Specified Securities for the Quarter ended March 31, 2017



(e) Any Other (specify)	-		-	-	-	-	-	-	-	-	-	1	-	-	-	-	1	-
Sub-Total (A)(2)	-	-	-	-	-	1	-	-	-	1	1	1	1	-	-	1	1	-
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		-	-	-	-	-	-	-	•	-	•	'	1	-	-	1	•	•

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Share- holders (I)	PAN (II)	Nos. of share- holders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Share-holding as a % of total no. of shares (calculate d as per SCRR, 1957) (VIII) As a % of (A+B+C2			ities (IX)	Total as a % of (A+B+ C)	No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of	Lo	mber of cked in chares (XII) As a % of total Shares held (b)	pleo oth encu No.	nber of hares dged or erwise umbered VIII As a % of total Shares held (b)	Number of equity shares held in demateria- lized form (XIV)
(1)	Institutions																		
(a)	Mutual Funds	-	6	10,400	-	-	10,400	0.04	10,400	-	10,400	0.04	-	0.04	-	-	-	-	100
(b)	Venture Capital Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c)	Alternate Investment Funds	-	-	-	1	-	1	-	1	1	1	-	-	-	-	-	-	-	-
(d)	Foreign Venture Capital Investors	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e)	Foreign Portfolio Investors	-	3	3,39,717	1	-	3,39,717	1.28	3,39,717	1	3,39,717	1.28	-	1.27	-	-	-	-	3,29,417



	Lts			3,29,417		_	3,29,417	1.24	3,29,417		3,29,417	1.24		1.23	ı				3,29,417
	Investment	AACCIO	-	3,29,417	-	-	3,29,417	1.24	3,29,417	-	3,29,417	1.24	-	1.23	_	-	-	-	3,29,417
	Financial	AACCLU	15	4,952	_	_	4,952	0.02	4,952	_	4,952	0.02	_	0.02		_			952
	Institutions/	-	13	4,932	_	_	4,932	0.02	4,932	_	4,932	0.02	-	0.02	_	_	_	-	932
	Banks Insurance	_		_	_	_	_			_	_	_	_	_	_	_		_	_
	Companies	-	-	-	-	-	-	-	-	_	-	_	-	-	_	-	-	-	-
	Provident	_	_		_	_	_			_	_	_	_	_		_			_
	Funds/	_	_	_	_		_	_		_	_			_	_	_	_	_	_
	Pension																		
	Funds																		
(k)	Any Other	_	-	_	_	-	_	_	_	_	_	_	_	-	-	_	_	_	_
	Sub-Total	_	24	3,55,069	_	_	3,55,069	1.34	3,55,069	_	3,55,069	1.34	_	1.33	-	_	-	_	3,30,469
	(B)(1)			2,22,000			2,22,000		2,22,005		-,,								2,23,133
(2)	Central	-	-	-	-	-	-	-	-	-	_	-	-	-	-	-	-	-	-
	Govern-																		
	ment/ State																		
	Govern-																		
	ment(s) /																		
	President of																		
	India																		
	Sub-Total	-	-	-	-	-	-	-	_	-	_	-	-	-	-	-	-	-	-
	(B)(2)																		
(3)	Non-																		
	institutions																		
	Individuals-																		
	i. Individual	-	23,427	1,03,18,547	-	-	1,03,18,547	38.92	1,03,18,547	-	1,03,18,547	38.92	-	38.55	-	-	-	-	90,75,883
	share-		,																, , , , , , ,
	holders																		
	holding																		
	nominal																		
	share																		
	capital up to																		
	Rs. 2 lakhs																		
	IXS. 2 IAKIIS																		
Ь																			



T	1 1	50	1 10 47 613	ı		1 10 47 013	44.60	1 10 47 013		1 10 47 013	11.60	2.52.200	45.20	1	Ī			1 17 67 613
ii.	- 1	58	1,18,47,012	-	-	1,18,47,012	44.68	1,18,47,012	-	1,18,47,012	44.68	2,53,200	45.20	-	-	-	-	1,17,67,612
Individual																		
share-																		
holders																		
holding																		
nominal																		
share																		
capital in																		
excess of																		
Rs. 2 lakhs	-		10.62.560			10.62.560	10.24	10.62.560		40.62.560	10.24		10.17					40.62.560
N.	A A DDVI	-	48,63,569	-	-	48,63,569	18.34	48,63,569	-	48,63,569	18.34	-	18.17	-	-	-	-	48,63,569
Jayakumar,	AADPNI																	
Persons	741L																	
acting in																		
concert:																		
Madhu																		
Jayakumar,																		
Judith																		
Investments																		
Private Ltd																		
Abhay		-	10,01,000	-	-	10,01,000	3.78	10,01,000	-	10,01,000	3.78	-	3.74	-	-	-	-	10,01,000
Agarwal	AACPA1																	
Suresh M		-	8,01,828	-	-	8,01,828	3.02	8,01,828	-	8,01,828	3.02	-	3.00	-	-	-	-	8,01,828
Hegde	AAAPH8																	
	673C																	
Bakulesh		-	5,00,000	-	-	5,00,000	1.89	5,00,000	-	5,00,000	1.89	-	1.87	-	-	-	-	5,00,000
Trambaklal	ALQPS4																	
Shah	619A																	
Chetan		-	2,91,000	-	-	2,91,000	1.10	2,91,000	-	2,91,000	1.10	-	1.09	-	-	-	-	2,91,000
Rasiklal	APUPS9																	
Shah	951D																	
Mohit		-	2,90,342	-	-	2,90,342	1.09	2,90,342	-	2,90,342	1.09	1	1.08	-	-	-	-	2,90,342
Oswal	AADPO1					·												
	072F																	
(b) NBFCs	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
registered																		
with RBI																		
(c) Employee	-	_	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Trusts																		

Format of Holding of Specified Securities for the Quarter ended March 31, 2017



(d) Overseas Depositories (holding DRs)	-	-	-	-	-	-	-	-	-	-	-	-	-	1	-	ı	-	-
(d) Any Other	r -	868	39,94,697	-	-	39,94,697	15.07	39,94,697	-	39,94,697	15.07	-	14.92	-	-	1	-	39,63,597
Bodies Corporate	-	234	20,66,909	-	-	20,66,909	7.80	20,66,909	-	20,66,909	7.80	-	7.72	-	-	-	-	20,66,909
Clearing Members	-	159	5,42,057	-	-	5,42,057	2.04	5,42,057	-	5,42,057	2.04	-	2.02	-	-	-	-	5,42,057
Hindu Undivided Family	-1	348	4,21,837	-	-	4,21,837	1.59	4,21,837	-	4,21,837	1.59	-	1.58	ı	-	1	-	4,21,837
Non Resident Indians (Non Repa	at)	49	40,027	-	-	40,027	0.15	40,027	-	40,027	0.15	-	0.15	1	-	1	-	40,027
Non Resident Indians (Repat)	-	76	8,95,167	-	-	8,95,167	3.38	8,95,167	-	8,95,167	3.38	-	3.34	-	-	-	-	8,92,667
Koppara Sajeeve Thomas	ANKPS5 450R	-	7,50,000	-	-	7,50,000	2.83	7,50,000	-	7,50,000	2.83	-	2.80	-	-	1	-	7,50,000
Overseas Bodies Corporates	- S	1	28,600	1	-	28,600	0.11	28,600	-	28,600	0.11	-	0.11	i	-	1	1	-
Trusts	-	1	100	-	-	100	0.00	100	-	100	0.00	-	0.00	1	-	1	ı	100
Sub-Total (B)(3)	-	24,353	2,61,60,256	-	-	2,61,60,256	98.66	2,61,60,256	-	2,61,60,256	98.66	2,53,200	98.67	-	-	-	-	2,48,07,092
Total Shareholding of Promoter and Promote Group (B)= (B)(1)+(B)(2) (B)(3)	r er =	24,377	2,65,15,325	-	-	2,65,15,325	100.00	2,65,15,325	-	2,65,15,325	100.00	2,53,200	100.00	-	-	-	-	2,51,37,561

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

None

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Nil



Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Share- holders (I)	PAN (II)	Nos. of share- holders (III)	No. of fully paid up equity shares held (IV)	equity shares held	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	a % of total no. of shares (calculate		of secur f Voting	rities (IX)	Total as a % of (A+B+C	Underlying Outstanding convertible securities (including	conversion of convertible securities (as a	Loc	mber of cked in hares (XII)	S pleo oth encu	dged or nerwise ımbered XIII)	Number of equity shares held in demateria- lized form (XIV)
					(V)			d as per SCRR, 1957) (VIII) As a % of (A+B+C2					Warrants) (X)	percentage of diluted share capital) (XI)= (VII)+(X) As a % of	No. (a)	As a % of total Shares held (b)		As a % of total Shares held (b)	
(1)) Custodian/ DR Holder	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Benefit Trust (under SEBI (Share based Employee Benefit) Regulations	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Pı	Total Non- romoter- Non Public Shareholding (C)= C)(1)+(C)(2)	-	-	-	-	-	-	-	-	-	-	-	-	-	1	•	-	-	-