

PRIME SECURITIES LIMITED
Format of Holding of Specified Securities for the Quarter ended March 31, 2017



1. **Name of Listed Entity:** Prime Securities Limited
2. **Scrip Code / Name of Scrip / Class of Security:** Code: 500337 (BSE), PRIMESECU (NSE) / Scrip Name: Prime Securities Limited / Class of Security: Equity Shares
3. **Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(C):** Regulation 31(1)(b) - Report for the Quarter ended March 31, 2017
4. **Declaration:**

	Particulars	Yes	No
1.	Whether the Listed Entity has issued any partly paid up shares?		√
2.	Whether the Listed Entity has issued any Convertible Securities or Warrants?	√	
3.	Whether the Listed Entity has any shares against which depository receipts are issued?		√
4.	Whether the Listed Entity has any shares in locked-in?		√
5.	Whether any shares held by promoters are pledge or otherwise encumbered?		√

5. **Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. of share- holders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Share- holding as a % of total no. of shares (calculat ed as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in demateria- lized form (XIV)
								No. of Voting Rights			Total as a % of (A+B+C)							
								Class eg: X	Class eg: Y	Total								
								(A)	Promoter & Promoter Group	-	-			-	-	-	-	
(B)	Public	24,377	2,65,15,325	-	-	2,65,15,325	100.00	2,65,15,325	-	2,65,15,325	100.00	2,53,200	100.00	-	-	NA	-	2,51,37,561
(C)	Non Promoter- Non Public	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	-	-
(C1)	Shares underlying DRs	-	-	-	-	-	N.A.	-	-	-	-	-	-	-	-	NA	-	-
(C2)	Shares held by Employee Trusts	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	-	-
	Total	24,377	2,65,15,325	-	-	2,65,15,325	100.00	2,65,15,325	-	2,65,15,325	100.00	2,53,200.00	100.00	-	-	-	-	2,51,37,561

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(e) Any Other (specify)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Sub-Total (A)(2)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc. Nil																		

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculate d as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in demateria- lized form (XIV)	
									No. of Voting Rights		Total as a % of (A+B+ C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
									Class eg: X	Class eg: Y									Total
(1)	Institutions																		
(a)	Mutual Funds	-	6	10,400	-	-	10,400	0.04	10,400	-	10,400	0.04	-	0.04	-	-	-	-	100
(b)	Venture Capital Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(c)	Alternate Investment Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(d)	Foreign Venture Capital Investors	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(e)	Foreign Portfolio Investors	-	3	3,39,717	-	-	3,39,717	1.28	3,39,717	-	3,39,717	1.28	-	1.27	-	-	-	-	3,29,417

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	Lts Investment	AACCL0	-	3,29,417	-	-	3,29,417	1.24	3,29,417	-	3,29,417	1.24	-	1.23	-	-	-	-	3,29,417
(f)	Financial Institutions/ Banks	-	15	4,952	-	-	4,952	0.02	4,952	-	4,952	0.02	-	0.02	-	-	-	-	952
(g)	Insurance Companies	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(h)	Provident Funds/ Pension Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(k)	Any Other	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Sub-Total (B)(1)	-	24	3,55,069	-	-	3,55,069	1.34	3,55,069	-	3,55,069	1.34	-	1.33	-	-	-	-	3,30,469
(2)	Central Government/ State Government(s) / President of India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Sub-Total (B)(2)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(3)	Non-institutions																		
(a)	Individuals-																		
	i. Individual share-holders holding nominal share capital up to Rs. 2 lakhs	-	23,427	1,03,18,547	-	-	1,03,18,547	38.92	1,03,18,547	-	1,03,18,547	38.92	-	38.55	-	-	-	-	90,75,883

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(d)	Overseas Depositories (holding DRs)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Any Other	-	868	39,94,697	-	-	39,94,697	15.07	39,94,697	-	39,94,697	15.07	-	14.92	-	-	-	39,63,597
	Bodies Corporate	-	234	20,66,909	-	-	20,66,909	7.80	20,66,909	-	20,66,909	7.80	-	7.72	-	-	-	20,66,909
	Clearing Members	-	159	5,42,057	-	-	5,42,057	2.04	5,42,057	-	5,42,057	2.04	-	2.02	-	-	-	5,42,057
	Hindu Undivided Family	-	348	4,21,837	-	-	4,21,837	1.59	4,21,837	-	4,21,837	1.59	-	1.58	-	-	-	4,21,837
	Non Resident Indians (Non Repat)	-	49	40,027	-	-	40,027	0.15	40,027	-	40,027	0.15	-	0.15	-	-	-	40,027
	Non Resident Indians (Repat)	-	76	8,95,167	-	-	8,95,167	3.38	8,95,167	-	8,95,167	3.38	-	3.34	-	-	-	8,92,667
	Koppara Sajeev Thomas	ANKPS5 450R	-	7,50,000	-	-	7,50,000	2.83	7,50,000	-	7,50,000	2.83	-	2.80	-	-	-	7,50,000
	Overseas Bodies Corporates	-	1	28,600	-	-	28,600	0.11	28,600	-	28,600	0.11	-	0.11	-	-	-	-
	Trusts	-	1	100	-	-	100	0.00	100	-	100	0.00	-	0.00	-	-	-	100
	Sub-Total (B)(3)	-	24,353	2,61,60,256	-	-	2,61,60,256	98.66	2,61,60,256	-	2,61,60,256	98.66	2,53,200	98.67	-	-	-	2,48,07,092
	Total Shareholding of Promoter and Promoter Group (B)= (B)(1)+(B)(2)+(B)(3)	-	24,377	2,65,15,325	-	-	2,65,15,325	100.00	2,65,15,325	-	2,65,15,325	100.00	2,53,200	100.00	-	-	-	2,51,37,561

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

None

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Nil

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