### Format of Holding of Specified Securities for the Quarter ended December 31, 2016



1. Name of Listed Entity: Prime Securities Limited

2. Scrip Code / Name of Scrip / Class of Security: Code: 500337 (BSE), PRIMESECU (NSE) / Scrip Name: Prime Securities Limited / Class of Security: Equity Shares

3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(C): Regulation 31(1)(b) - Report for the Quarter ended December 31, 2016

### 4. Declaration:

	Particulars	Yes	No
1	. Whether the Listed Entity has issued any partly paid up shares?		٧
2	. Whether the Listed Entity has issued any Convertible Securities or Warrants?	٧	
3	Whether the Listed Entity has any shares against which depository receipts are issued?		٧
4	Whether the Listed Entity has any shares in locked-in?		٧
5	Whether any shares held by promoters are pledge or otherwise encumbered?		٧

# 5. Table I - Summary Statement holding of specified securities

Cate-	Category of	Nos. of	No. of fully	No. of	No. of	Total nos.	Share-	Number of V	oting Ri	ghts held in e	ach class	No. of	Shareholding,	Nui	mber of	Nui	mber of	Number of
gory	shareholder	share-	paid up	Partly	shares	shares held	holding as		of secur	rities (IX)		Shares	as a %	Lo	cked in	S	hares	equity shares
<b>(I)</b>	(II)	holders	equity shares	paid-up	underlying	( <b>VII</b> ) =	a % of	No. of	Voting	Rights	Total as	Underlying		s	hares	ple	dged or	held in
		(III)	held	equity	Depository	(IV)+(V)+	total no.	Class eg: X	Class	Total	a % of	Outstanding	conversion of	(	(XII)	oth	nerwise	demateria-
			(IV)	shares	Receipts	(VI)	of shares		eg: Y		(A+B+	convertible	convertible				ımbered	
				held	(VI)		(calculate		1.0		<b>C</b> )	securities	securities (as a		1	_ `	XIII)	(XIV)
				<b>(V)</b>			d as per					(including	percentage of	No.			As a %	
							SCRR,					Warrants)	diluted share	(a)		(a)	of total	
							1957)					( <b>X</b> )	capital)		Shares		Shares	
							(VIII)						(XI)=		held		held	
							As a % of						(VII)+(X)		<b>(b)</b>		<b>(b)</b>	
(A)	Promoter &	-	_	_	-	-	- TA+R+I	-	-	-	-	-	- Ac a % Af	-	-	-	_	-
` ′	Promoter Group																	
(B)	Public	24,592	26,473,525	-	-	26,473,525	100.00	26,473,525	-	26,473,525	100.00	341,600	100.00	-	-	NA	-	25,091,561
(C)	Non Promoter- Non	-	_	-	-	-	-	-	-	-	-	-	-	-	-	NA	-	-
	Public																	
(C1)	Shares underlying	-	-	-	-	-	N.A.	-	-	-	-	-	-	-	-	NA	-	-
	DRs																	
(C2)	Shares held by	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	-	-
	Employee Trusts																	
	Total	24,592	26,473,525	-	-	26,473,525	100.00	26,473,525	-	26,473,525	100.00	341,600.00	100.00	-	-	-	-	25,091,561



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Share holders (I)	of (II)	Nos. of share- holders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)			_	ities (IX)	Total as a % of (A+B+ C)	No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of	Lo	mber of cked in hares (XII)  As a % of total Shares held (b)	pleo oth encu	mber of hares dged or nerwise mbered XIII)  As a % of total Shares held (b)	Number of equity shares held in demateria- lized form (XIV)
(1) Indian		1																
(a) Individual Hindu undivided Family	-	-	_	-	_		-	-	-		-	-	-	-	-	-	-	
(b) Central Govern- ment/State Govern- ment(s)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c) Financial Institution Banks	s/	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d) Any Other (specify)	-	-	-	-	1	1	-	1	-	1	-	-	-	-	-	-	-	-
Sub-Total (A)(1)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(2) Foreign		1																
(a) Individual (Non- Resident Individual Foreign Individual	s/	-	-	-	-	-	-	-	-	-	_	-	-	-	-	-	-	-
(b) Govern- ment	-	-	-	-	=	-	-	-	-	-	-	-	-	-	-	-	-	-
(c) Institution	s -	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d) Foreign Portfolio Investor	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

# Format of Holding of Specified Securities for the Quarter ended December 31, 2016



(e) Any Other (specify)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	,	-
Sub-Total (A)(2)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		•	1	-	'	-	-	•	•	1	-	•	•	-	-	1	1	-

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc. Nil

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Share- holders (I)	PAN (II)	Nos. of share- holders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)				rities (IX)	Total as	No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)		Loc	mber of cked in hares XII)  As a % of total Shares held (b)	pleo oth encu	mber of hares dged or terwise mbered XIII. As a % of total Shares held (b)	Number of equity shares held in demateria- lized form (XIV)
(1)	Institutions																		
(a)	Mutual Funds	-	6	10,400	-	-	10,400	0.04	10,400	-	10,400	0.04	-	0.04	-	-	-	-	100
(b)	Venture Capital Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c)	Alternate Investment Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Foreign Venture Capital Investors	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e)	Foreign Portfolio Investors	1	3	210,300	ı	-	210,300	0.79	210,300	-	210,300	0.79	1	0.78	-	-	-	-	200,000



(f)	Financial Institutions/ Banks	-	7	3,200	-	-	3,200	0.01	3,200	-	3,200	0.01	-	0.01	1	-	-	-	100
(g)	Insurance Companies	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(h)	Provident Funds/ Pension Funds	-	-	-	-	-	-	1	-	-	-	-	-	-	-	-	-	-	-
(k)	Any Other	_	7	900	_	_	900	0.00	900	_	900	0.00	_	0.00	_	_	_	_	_
(11)	Foreign	_	7	900	-	_	900	0.00	900	_	900	0.00	_	0.00	_	_	_	_	_
	Bank																		
	Sub-Total (B)(1)	-	23	224,800	-	-	224,800	0.85	224,800	ı	224,800	0.85	1	0.84	1	-	ı	ı	200,200
	Central Govern- ment/ State Govern- ment(s) / President of India	-	-	-	-	-	-	-		1		-	-		1	-	1	-	-
	Sub-Total	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	(B)(2)																		
(3)	Non- institutions																		
(a)	Individuals-																		
	i. Individual share- holders holding nominal share capital up to Rs. 2 lakhs	-	23,670	10,575,081	-	-	10,575,081	39.95	10,575,081	-	10,575,081	39.95	-	39.44	-	-	-	-	9,328,217
	ii. Individual share- holders holding nominal share capital in excess of Rs. 2 lakhs	-	58	11,817,416	-	-	11,817,416	44.64	11,817,416	-	11,817,416	44.64	341,600	45.34	-	-	1	'	11,738,016



	N.			4,863,569			4,863,569	18.37	1 962 560		4,863,569	18.37		18.14			1	_	4,863,569
	in. Jayakumar,	AADPN1	-	4,803,309	-	-	4,803,309	18.37	4,863,569	-	4,865,369	18.57	-	18.14	-	_	-	-	4,803,309
	Persons	741L																	
	acting in	/41L																	
	concert:																		
	Madhu																		
	Jayakumar,																		
	Judith																		
	Investments																		
	Private Ltd																		
	Abhay Agarwal	AACPA1	i	1,001,000	-	-	1,001,000	3.78	1,001,000	ı	1,001,000	3.78	-	3.73	ı	-	-	-	1,001,000
	Suresh M		-	801,828	-	-	801,828	3.03	801,828	-	801,828	3.03	-	2.99	-	-	-	-	801,828
	Hegde	AAAPH8																	
		673C																	
	Bakulesh		-	500,000	-	-	500,000	1.89	500,000	-	500,000	1.89	-	1.86	-	-	-	-	500,000
	Trambaklal	ALQPS4																	
	Shah	619A																	
	Chetan		-	312,000	-	-	312,000	1.18	312,000	-	312,000	1.18	-	1.16	-	-	-	-	312,000
	Rasiklal Shah	APUPS9 951D																	
	NBFCs	951D								_									
	registered	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	with RBI																		
_	Employee				_	_								-	_	_	_	_	
	Trusts	_	-	_	_	-	-	-	-	-	-	-	-	_	_	_	_	_	-
	Overseas				_									_		_	_	_	_
	Deposi-		_	_		_	_	_	_	_	_	_		_	_		-	_	
	tories																		
	(holding																		
	DRs)																		
	Any Other	-	841	3,856,228	-	-	3,856,228	14.57	3,856,228	-	3,856,228	14.57	-	14.38	-	-	-	-	3,825,128
$\Box$	Bodies	_	254	2,131,380	-	-	2,131,380	8.05	2,131,380	-	2,131,380	8.05		7.95	_	-	-	-	2,131,380
	Corporate			, , ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			, - ,		, - ,		, - ,								, , , , , , , , , , , , , , , , , , , ,
	Clearing	-	125	312,033	-	-	312,033	1.18	312,033	-	312,033	1.18	-	1.16	-	-	-	-	312,033
	Members																		
	Hindu	-	341	432,888	-	-	432,888	1.64	432,888	-	432,888	1.64	-	1.61	-	-	-	-	432,888
	Undivided																		
	Family																		

# Format of Holding of Specified Securities for the Quarter ended December 31, 2016



Non Resident	-	48	48,186	-	-	48,186	0.18	48,186	-	48,186	0.18	-	0.18	-	-	-	-	48,186
Indians																		
(Non Repat)																		
Non	-	71	903,041	-	-	903,041	3.41	903,041	-	903,041	3.41	-	3.37	-	-	-	-	900,541
Resident																		
Indians																		
(Repat)																		
Koppara		-	750,000	-	-	750,000	2.83	750,000	-	750,000	2.83	-	2.80	-	-	-	-	750,000
Sajeeve	ANKPS5																	
Thomas	450R																	
Overseas	-	1	28,600	-	-	28,600	0.11	28,600	-	28,600	0.11	-	0.11	-	-	-	-	-
Bodies																		
Corporates										100								
Trusts	-	1	100	-	-	100	0.00		-	100	0.00	-	0.00	-	-	-	-	100
Sub-Total	-	24,569	26,248,725	-	-	26,248,725	99.15	26,248,725	-	26,248,725	99.15	341,600	99.16	-	-	-	-	24,891,361
(B)(3)																		
Total	-	24,592	26,473,525	-	-	26,473,525	100.00	26,473,525	-	26,473,525	100.00	341,600	100.00	-	-	-	-	25,091,561
Shareholding																		
of Promoter																		
and Promoter																		
Group (B)=																		
(B)(1)+(B)(2)+																		
(B)(3)																		

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

None

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc. Nil



Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Share- holders (I)	PAN (II)	Nos. of share- holders (III)	No. of fully paid up equity shares held (IV)		No. of shares underlying Depository Receipts (VI)	( <b>VII</b> ) =	Share-holding as a % of total no. of shares (calculate d as per SCRR, 1957) (VIII) As a % of (A+B+C2		of secur Voting	ghts held in e ities (IX) Rights Total	Total as a % of (A+B+C	No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	-	Lo	mber of cked in hares (XII)  As a % of total Shares held (b)	S plee oth encu	mber of hares dged or nerwise imbered XIII. As a % of total Shares held (b)	Number of equity shares held in demateria- lized form (XIV)
(1	) Custodian/ DR Holder	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(2	Benefit Trust (under SEBI (Share based Employee Benefit) Regulations	-	-	-	-	1	-	-	ı	-	-	-	ı	-	-	-	-	-	-
P	Total Non- romoter- Non Public Shareholding (C)= C)(1)+(C)(2)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-