PRIME SECURITIES LIMITED

1. Name of Listed Entity: Prime Securities Limited
2. Scrip Code / Name of Scrip / Class of Security: Code: 500337 (BSE), PRIMESECU (NSE) / Scrip Name: Prime Securities Limited / Class of Security: Equity Shares
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(C):

Regulation 31(1)(b) - Report for the Quarter ended December 31, 2016

## 4. Declaration:

|  | Particulars | Yes | No |
| :---: | :---: | :---: | :---: |
| 1. | Whether the Listed Entity has issued any partly paid up shares? |  | $\checkmark$ |
| 2. | Whether the Listed Entity has issued any Convertible Securities or Warrants? | $\checkmark$ |  |
| 3. | Whether the Listed Entity has any shares against which depository receipts are issued? |  | $\checkmark$ |
| 4. | Whether the Listed Entity has any shares in locked-in? |  | $\checkmark$ |
| 5. | Whether any shares held by promoters are pledge or otherwise encumbered? |  | $\checkmark$ |

5. Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder <br> (II) | Nos. of <br> share- <br> holders(III) | No. of fullypaid upequity sharesheld(IV) | No. of Partly paid-up equity shares held (V) | No. of <br> shares <br> underlying <br> Depository <br> Receipts <br> (VI) | Total nos. shares held $\begin{gathered} (\mathbf{V I I})= \\ (\mathbf{I V})+(\mathbf{V})+ \end{gathered}$ <br> (VI) | Share- <br> holding as <br> a \% of <br> total no. <br> of shares <br> (calculate <br> d as per <br> SCRR, <br> 1957) <br> (VIII) <br> As a \% of <br> (A+R+I | Number of Voting Rights held in each class of securities (IX) |  |  |  | No. of <br> Shares <br> Underlying <br> Outstanding <br> convertible <br> securities <br> (including <br> Warrants) <br> (X) | Shareholding, <br> as a \% <br> assuming full <br> conversion of <br> convertible <br> securities (as a <br> percentage of <br> diluted share <br> capital) <br> (XI)= <br> (VII)+(X) <br> Asa $\sigma_{2}$ of | Number of Locked in shares (XII) |  | Number of Shares pledged or otherwise encumbered (XIII) |  | Number of equity shares held in dematerialized form (XIV) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  |  |  | No. of Voting Rights |  |  | $\begin{array}{\|c\|} \hline \text { Total as } \\ \text { a } \% \text { of } \\ (A+B+ \\ C) \end{array}$ |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  | Class eg: X | $\begin{aligned} & \text { Class } \\ & \text { eg: Y } \end{aligned}$ | Total |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  | No. <br> (a) | As a \% <br> of total <br> Shares <br> held <br> (b) | No. <br> (a) | As a \% of total Shares held (b) |  |
| (A) |  <br> Promoter Group | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (B) | Public | 24,592 | 26,473,525 | - | - | 26,473,525 | 100.00 | 26,473,525 | - | 26,473,525 | 100.00 | 341,600 | 100.00 | - | - | NA | - | 25,091,561 |
| (C) | Non Promoter- Non Public | - | - | - | - | - | - | - | - | - | - | - | - | - | - | NA | - | - |
| (C1) | Shares underlying DRs | - | - | - | - | - | N.A. | - | - | - | - | - | - | - | - | NA | - | - |
| (C2) | Shares held by Employee Trusts | - | - | - | - | - | - | - | - | - | - | - | - | - | - | NA | - | - |
|  | Total | 24,592 | 26,473,525 | - | - | 26,473,525 | 100.00 | 26,473,525 | - | 26,473,525 | 100.00 | 341,600.00 | 100.00 | - | - | - | - | 25,091,561 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

|  | Category \& Name of the Shareholders (I) | PAN <br> (II) | Nos. of shareholders (III) | No. of fullypaid upequity sharesheld(IV) | No. of Partly paid-up equity shares held (V) | No. of <br> shares <br> underlying <br> Depository <br> Receipts <br> (VI) | Total nos. shares held $\begin{gathered} (\mathbf{V I I})= \\ (\mathbf{I V})+(\mathbf{V})+ \end{gathered}$ <br> (VI) | Share-holding asa \% oftotal no.of shares(calculated as perSCRR,1957)(VIII)As a \% of(A+B+C2 | Number of Voting Rights held in each class of securities (IX) |  |  |  | No. of <br> Shares <br> Underlying <br> Outstanding <br> convertible <br> securities <br> (including <br> Warrants) <br> (X) | Shareholding, as a \% assuming full conversion of convertible securities (as a percentage of diluted share capital) $(\mathbf{X I})=$ (VII) + (X) As a \% of | Number of Locked in shares (XII) |  | $\begin{array}{\|c\|} \hline \text { Number of } \\ \text { Shares } \\ \text { pledged or } \\ \text { otherwise } \\ \text { encumbered } \\ \text { (XIII) } \\ \hline \end{array}$ |  | Number ofequity sharesheld indemateria-lized form(XIV) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  |  |  |  | No. of Voting Rights |  |  | $\begin{array}{\|c\|} \hline \text { Total as } \\ \text { a \% of } \\ (A+B+ \\ C) \end{array}$ |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  | Class eg: X | $\begin{aligned} & \text { Class } \\ & \text { eg: Y } \end{aligned}$ | Total |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | No. <br> (a) | As a \% of total Shares held <br> (b) | No. <br> (a) | As a \% of total Shares held (b) |  |
| (1) | Indian |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| (a) | Individuals/ <br> Hindu <br> undivided <br> Family | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (b) | Central Government/State Government(s) | - | - | - | - | - | - | - | - | - | - | - | - | - | ${ }^{-}$ | - | - | - | - |
| (c) | $\begin{array}{\|l\|} \hline \text { Financial } \\ \text { Institutions/ } \\ \text { Banks } \\ \hline \end{array}$ | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (d) | Any Other (specify) | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
|  | Sub-Total $(\mathrm{A})(1)$ | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (2) | Foreign |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| (a) | Individuals <br> (Non- <br> Resident <br> Individuals/ <br> Foreign <br> Individuals) | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (b) | Govern- ment | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (c) | Institutions | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (d) | Foreign <br> Portfolio <br> Investor | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |  |


| (e) Any Other (specify) | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Sub-Total <br> (A)(2) | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Total <br> Shareholding <br> of Promoter <br> and Promoter <br> Group (A)= <br> $(\mathbf{A})(1)+(A)(2)$ | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |  |

Table III - Statement showing shareholding pattern of the Public shareholder

|  | Category \& Name of the Shareholders <br> (I) | PAN <br> (II) | Nos. of shareholders (III) | No. of fullypaid upequity sharesheld(IV) | No. of Partly paid-up equity shares held (V) | No. of shares underlying Depository Receipts (VI) | Total nos. shares held$\begin{gathered} (\mathbf{V I I})= \\ (\mathbf{I V})+(\mathbf{V})+ \end{gathered}$(VI) | Share- <br> holding as <br> a \% oftotal no.of shares(calculated as perSCRR,1957)(VIII)As a \% of(A+B+C2 | Number of Voting Rights held in each class of securities (IX) |  |  |  | No. of Shares Underlying Outstanding convertible securities (including Warrants) (X) | Shareholding, as a \% assuming full conversion of convertible securities (as a percentage of diluted share capital) $(\mathrm{XI})=$ (VII) + (X) As a \% of | Number of Locked in shares (XII) |  | Number of Shares pledged or otherwise encumbered (XUW |  | Number of equity shares held in dematerialized form (XIV) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  |  |  |  | No. of Voting Rights |  |  | Total as a \% of (A+B+ C) |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  | Class eg: X | $\begin{aligned} & \text { Class } \\ & \text { eg: } \end{aligned}$ | Total |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | No. <br> (a) | As a \% of total Shares held (b) | No. <br> (a) | As a \% of total Shares held (b) |  |
| (1) | Institutions |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| (a) | $\begin{aligned} & \text { Mutual } \\ & \text { Funds } \end{aligned}$ | - | 6 | 10,400 | - | - | 10,400 | 0.04 | 10,400 | - | 10,400 | 0.04 | - | 0.04 | - | - | - | - | 100 |
| (b) | $\begin{aligned} & \text { Venture } \\ & \text { Capital } \\ & \text { Funds } \end{aligned}$ | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (c) | Alternate Investment Funds | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (d) | Foreign Venture Capital Investors | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (e) | Foreign <br> Portfolio <br> Investors | - | 3 | 210,300 | - | - | 210,300 | 0.79 | 210,300 | - | 210,300 | 0.79 | - | 0.78 | - | - | - | - | 200,000 |

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| (f) | $\begin{array}{\|l\|} \hline \begin{array}{l} \text { Financial } \\ \text { Institutions/ } \\ \text { Banks } \end{array} \\ \hline \end{array}$ | - | 7 | 3,200 | - | - | 3,200 | 0.01 | 3,200 | - | 3,200 | 0.01 | - | 0.01 | - | - | - | - | 100 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| (g) | Insurance Companies | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (h) | Provident <br> Funds/ <br> Pension <br> Funds | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (k) | Any Other | - | 7 | 900 | - | - | 900 | 0.00 | 900 | - | 900 | 0.00 | - | 0.00 | - | - | - | - |  |
|  | Foreign Bank | - | 7 | 900 | - | - | 900 | 0.00 | 900 | - | 900 | 0.00 | - | 0.00 | - | - | - | - | - |
|  | $\begin{aligned} & \text { Sub-Total } \\ & \text { (B)(1) } \end{aligned}$ | - | 23 | 224,800 | - | - | 224,800 | 0.85 | 224,800 | - | 224,800 | 0.85 | - | 0.84 | - | - | - | - | 200,200 |
| (2) | Central Government/ State Government(s) / President of India | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
|  | Sub-Total (B)(2) | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (3) | Non- institutions |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| (a) | Individuals- |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  | i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs | - | 23,670 | 10,575,081 | - | - | 10,575,081 | 39.95 | 10,575,081 | - | 10,575,081 | 39.95 | - | 39.44 | - | - | - | - | 9,328,217 |
|  | ii. <br> Individual <br> share- <br> holders <br> holding <br> nominal <br> share <br> capital in <br> excess of <br> Rs.2 lakhs | - | 58 | 11,817,416 | - | - | 11,817,416 | 44.64 | 11,817,416 | - | 11,817,416 | 44.64 | 341,600 | 45.34 | - | - | - | - | 11,738,016 |

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|  | $\begin{aligned} & \hline \text { N. } \\ & \text { Jayakumar, } \\ & \text { Persons } \\ & \text { acting in } \\ & \text { concert: } \\ & \text { Madhu } \\ & \text { Jayakumar, } \\ & \text { Judith } \\ & \text { Investments } \\ & \text { Private Ltd } \end{aligned}$ | $\begin{array}{\|c\|} \hline \text { AADPN1 } \\ 741 \mathrm{~L} \end{array}$ | - | 4,863,569 | - | - | 4,863,569 | 18.37 | 4,863,569 | - | 4,863,569 | 18.37 | - | 18.14 | - | - | - | - | 4,863,569 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $\begin{aligned} & \text { Abhay } \\ & \text { Agarwal } \\ & \hline \end{aligned}$ | AACPA1 | - | 1,001,000 | - | - | 1,001,000 | 3.78 | 1,001,000 | - | 1,001,000 | 3.78 | - | 3.73 | - | - | - | - | 1,001,000 |
|  | $\begin{aligned} & \text { Suresh M } \\ & \text { Hegde } \end{aligned}$ | $\begin{array}{\|c\|} \hline \text { AAAPH8 } \\ 673 \mathrm{C} \\ \hline \end{array}$ | - | 801,828 | - | - | 801,828 | 3.03 | 801,828 | - | 801,828 | 3.03 | - | 2.99 | - | - | - | - | 801,828 |
|  | Bakulesh <br> Trambaklal <br> Shah | $\begin{array}{\|c\|} \hline \text { ALQPS4 } \\ 619 \mathrm{~A} \\ \hline \end{array}$ | - | 500,000 | - | - | 500,000 | 1.89 | 500,000 | - | 500,000 | 1.89 | - | 1.86 | - | - | - | - | 500,000 |
|  |  | $\begin{array}{\|c\|} \hline \text { APUPS9 } \\ \text { 951D } \\ \hline \end{array}$ | - | 312,000 | - | - | 312,000 | 1.18 | 312,000 | - | 312,000 | 1.18 | - | 1.16 | - | - | - | - | 312,000 |
| (b) | NBFCs registered with RBI | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (c) | Employee Trusts | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (d) | $\begin{array}{\|l} \hline \text { Overseas } \\ \text { Deposi- } \\ \text { tories } \\ \text { (holding } \\ \text { DRs) } \\ \hline \end{array}$ | - | - | ${ }^{-}$ | - | - | ${ }^{-}$ | - | ${ }^{-}$ | - | ${ }^{-}$ | - | - | - | - | - | - | - | - |
| (d) | Any Other | - | 841 | 3,856,228 | - | - | 3,856,228 | 14.57 | 3,856,228 | - | 3,856,228 | 14.57 | - | 14.38 | - | - | - | - | 3,825,128 |
|  | Bodies Corporate | - | 254 | 2,131,380 | - | - | 2,131,380 | 8.05 | 2,131,380 | - | 2,131,380 | 8.05 | - | 7.95 | - | - | - | - | 2,131,380 |
|  | Clearing <br> Members | - | 125 | 312,033 | - | - | 312,033 | 1.18 | 312,033 | - | 312,033 | 1.18 | - | 1.16 | - | - | - | - | 312,033 |
|  | Hindu <br> Undivided <br> Family | $\stackrel{-}{ }$ | 341 | 432,888 | - | - | 432,888 | 1.64 | 432,888 | - | 432,888 | 1.64 | - | 1.61 | - | - | - | - | 432,888 |

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| Non <br> Resident <br> Indians <br> (Non Repat) | - | 48 | 48,186 | - | - | 48,186 | 0.18 | 48,186 | - | 48,186 | 0.18 | - | 0.18 | - | - | - | - | 48,186 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Non <br> Resident <br> Indians <br> (Repat) | - | 71 | 903,041 | - | - | 903,041 | 3.41 | 903,041 | - | 903,041 | 3.41 | - | 3.37 | - | - | - | - | 900,541 |
| Koppara <br> Sajeeve <br> Thomas | $\begin{array}{\|c\|} \hline \text { ANKPS5 } \\ 450 \mathrm{R} \end{array}$ | - | 750,000 | - | - | 750,000 | 2.83 | 750,000 | - | 750,000 | 2.83 | - | 2.80 | - | - | - | - | 750,000 |
| Overseas <br> Bodies <br> Corporates | - | 1 | 28,600 | - | - | 28,600 | 0.11 | 28,600 | - | 28,600 | 0.11 | - | 0.11 | - | - | - | - | - |
| Trusts | - | 1 | 100 | - | - | 100 | 0.00 | 100 | - | 100 | 0.00 | - | 0.00 | - | - | - | - | 100 |
| Sub-Total <br> (B)(3) | - | 24,569 | 26,248,725 | - | - | 26,248,725 | 99.15 | 26,248,725 | - | 26,248,725 | 99.15 | 341,600 | 99.16 | - | - | - | - | 24,891,361 |
| Total <br> Shareholding <br> of Promoter <br> and Promoter <br> Group (B)= <br> $(\mathbf{B})(\mathbf{1})+(\mathbf{B})(2)+$ <br> (B)(3) | - | 24,592 | 26,473,525 | - | - | 26,473,525 | 100.00 | 26,473,525 | - | 26,473,525 | 100.00 | 341,600 | 100.00 | - | - | - | - | 25,091,561 |
| Details of the shareholders acting as persons in Concert including their Shareholding (No. and \%): None |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc. Nil |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

| Category \& Name of the Shareholders (I) | $\begin{gathered} \hline \text { PAN } \\ \text { (II) } \end{gathered}$ | Nos. of shareholders (III) | No. of fully <br> paid up <br> equity shares <br> held <br> (IV) | No. of <br> Partly <br> paid-up <br> equity <br> shares <br> held <br> (V) | No. of <br> shares <br> underlying <br> Depository <br> Receipts <br> (VI) | Total nos. shares held $\begin{gathered} (\mathbf{V I I})= \\ (\mathbf{I V})+(\mathbf{V})+ \end{gathered}$ <br> (VI) | Share- <br> holding as <br> a \% of <br> total no. <br> of shares <br> (calculate <br> d as per <br> SCRR, <br> 1957) <br> (VIII) <br> As a \% of <br> (A+B+C2 | Number of Voting Rights held in each class of securities (IX) |  |  |  | No. of <br> Shares <br> Underlying <br> Outstanding <br> convertible <br> securities <br> (including <br> Warrants) <br> (X) | Shareholding, as a \% assuming full conversion of convertible securities (as a percentage of diluted share capital) $(\mathbf{X I})=$ (VII) + (X) As a \% of$\qquad$ | Number of Locked in shares (XII) |  | $\begin{array}{\|c} \hline \text { Number of } \\ \text { Shares } \\ \text { pledged or } \\ \text { otherwise } \\ \text { encumbered } \\ \text { (XUl) } \\ \hline \end{array}$ |  | Number of <br> equity shares <br> held in <br> demateria- <br> lized form <br> (XIV) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  |  |  | No. of Voting Rights |  |  | $\begin{gathered} \text { Total as } \\ \text { a \% of } \\ (\mathrm{A}+\mathrm{B}+\mathrm{C} \\ ) \end{gathered}$ |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  | Class eg: X | $\begin{aligned} & \text { Class } \\ & \text { eg: Y } \end{aligned}$ | Total |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  | No. <br> (a) | As a \% of total Shares held (b) | No. <br> (a) | As a \% of total Shares held (b) |  |
| (1)Custodian/ <br> DR Holder | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (2) Employee <br> Benefit  <br> Trust  <br> (under  <br> SEBI  <br> (Share  <br> based  <br> Employee  <br> Benefit)  <br> Regulations  | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Total Non- <br> Promoter- Non <br> Public <br> Shareholding <br> $(\mathrm{C})=$ <br> $(\mathrm{C})(1)+(\mathrm{C})(2)$ | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |  |

