



October 9, 2024

Corporate Relationship Department
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street,
Fort, Mumbai 400001

Capital Markets - Listing
National Stock Exchange of India Limited
Exchange Plaza, 5th Floor, Plot No. C/1,
G Block, Bandra Kurla Complex,
Bandra (East), Mumbai 400051

Dear Sir / Madam,

Sub: Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Re: Stock Code: 500337 (BSE) / PRIMESECU (NSE)

Pursuant to the provisions of Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations"), we wish to inform the details required in terms of clause 20 of Para A of Part A of Schedule III, attached herewith as Annexure A.

This is for your information and records.

Yours faithfully,
For **Prime Securities Limited**

Ajay Shah
Company Secretary
(ACS-14359)



Annexure

Name of the authority	Securities and Exchange Board of India
Nature and details of the actions(s) taken, initiated or orders(s) passed	Administrative warning
Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority	Letter received on October 3, 2024 and placed before the Board of Directors on October 8, 2024 in terms of the directions contained in the said letter
Details of the violation(s) / contraventions(s) committed or alleged to be committed	Observations pertaining to due diligence in respect of declarations in two letters of offer, intimation of changes in information, NISM certification by compliance officer and KMP, display of information on board, internal control for insider trading and structure digital database
Impact of financial, operations or other activities of the listed entity, quantifiable in monetary terms to the extent possible	None