

General information about company

Scrip Code*	500337
NSE Symbol	PRIMESECU
MSEI Symbol	NOTLISTED
ISIN	INE032B01021
Name of the listed entity	Prime Securities Limited

Statement(1)

Mode of Fund Raising	Preferential Issues
Description of mode of fund raising (Applicable in case of others is selected)	
Date of Raising Funds	16-11-2021
Amount Raised (in Rs. Crores)	40.38
Report filed for Quarter ended	30-09-2023
Monitoring Agency	Not applicable
Monitoring Agency Name, if applicable	
Is there a Deviation / Variation in use of funds raised	No
If yes, whether the same is pursuant to change in terms of a contract or objects, which was approved by the shareholders	
If Yes, Date of shareholder Approval	
Explanation for the Deviation / Variation	
Comments of the Audit Committee after review	Statement as on September 30, 2023, was placed before the Audit Committee at its Meeting held on October 30, 2023
Comments of the auditors, if any	Not applicable

Sr.	Original Object	Modified Object, if any	Original Allocation	Modified allocation, if any	Funds Utilised	Amount of Deviation/Variation for the quarter according to applicable object	Remarks if any
1	Making investments in one or more digitally powered platforms or marketplaces	a) Making investments in one or more digitally powered platforms or marketplace; b) Making investments in one or more companies involved in financial services / manufacturing and distribution of financial products, mutual fund products, Alternate Investment Funds (AIFs), Wealth Management and Portfolio Management products / products involving financial inclusion and general corporate purposes; c) Making investments in office infrastructure; d) General corporate purposes not exceeding exceed INR 1, 000 lakhs, being less than 25% of the total funds raised	40.38	40.38	21.72	0	

Signatory Details

Name of signatory	Ajay Shah
Designation of person	Company Secretary and Compliance Officer
Place	Mumbai
Date	30-08-2023