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BSE ACKNOWLEDGEMENT

Acknowledgement Number	3913391
Date and Time of Submission	4/12/2022 10:57:12 PM
Scripcode and Company Name	500337 - PRIME SECURITIES LTD.
Subject / Compliance Regulation	Compliance Certificate Under Regulation 40(9) &40(10) Of SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015
Submitted By	Prime Securities Limited
Designation	Company Secretary & Compliance Officer

Disclaimer : - Contents of filings has not been verified at the time of submission.



April 12, 2022

**Corporate Relationship Department
BSE Limited**

Phiroze Jeejeebhoy Towers, Dalal Street,
Fort, Mumbai 400001

Capital Markets-Listing

National Stock Exchange of India Ltd

Exchange Plaza, 5th Floor, Plot No. C/1,
G Block, Bandra Kurla Complex, Bandra (East),
Mumbai 400051

Dear Sir,

Sub: Regulation 40(9) & 40(10) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Re: Stock Code: 500337 (BSE) / PRIMESECU (NSE)

Pursuant to the provisions of Regulation 40(9) & 40(10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith the Certificate dated April 12, 2022, from M/s. Pramod Shah & Associates, Practicing Company Secretaries, for the Year ended March 31, 2022.

This is for your information and records.

Thanking you,

Yours faithfully,

For **Prime Securities Limited**




Ajay Shah
Company Secretary
(ACS-14359)

Prime Securities Limited

1109 / 1110, Maker Chambers V,
Nariman Point, Mumbai 400021

CIN: L67120MH1982PLC026724

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Tel : +91-22-6184 2525

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Pramod S. Shah & Associates

Practising Company Secretaries

3rd Floor, LA-SHEWA Bldg., Next to Fedex, 233, P. D' Mello Road, Opp. St. George Hospital, Near CST, Mumbai - 400 001.
Tel. : 91-22-2271 7700 / 91-22-2270 1040 • Email : saurabhshah@psaprofessionals.com • Website : cpspa.co.in

CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS 2015

FOR THE YEAR ENDED MARCH 31, 2022

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Prime Securities Limited** maintained by **Link Intime India Private Limited (the RTA)** pertaining to transfer of equity shares of the Company for the period from **01st April, 2021 to 31st March, 2022** for the purpose of issuing a Certificate as per Clause 40(9) of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 entered into by **Prime Securities Limited** with Stock Exchanges and based on the information provided by the Company, We hereby certify that the Company has delivered during half year ended on **31st March, 2022**:

- A) Share Certificate(s) relating to the transfer of Shares received during the period from **01st April, 2021 to 31st March, 2022** as entered in the Memorandum of Transfers and had been issued within thirty days from respective date of lodgment of each deed excepting those rejected on technical grounds.
- B) The Company has received request for issue of duplicate share certificates and has received requests for transmission of shares during the period from **01st April, 2021 to 31st March, 2022** and the certificates for the same has been issued within thirty days from respective date of lodgment.

Date: April 12, 2022

Place: Mumbai


For Pramod S. Shah & Associates
Practising Company Secretaries
Pramod S. Shah - Partner
Membership No.: 334 (FCS)
C. P. No.: 3804
UDIN: F000334D000088697