

# PRIME

October 10, 2020

✓ **Corporate Relationship Department**  
**BSE Limited**

✓ Phiroze Jeejeebhoy Towers, Dalal Street,  
Fort, Mumbai 400001

**Capital Markets-Listing**

**National Stock Exchange of India Ltd**

Exchange Plaza, 5<sup>th</sup> Floor, Plot No. C/1,  
G Block, Bandra Kurla Complex, Bandra (East),  
Mumbai 400051

Dear Sir,

**Sub: Regulation 76(1) of the Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018**

**Re: Stock Code: 500337 (BSE) / PRIMESECU (NSE)**

Pursuant to the provisions of Regulation 76(1) of SEBI (Depositories and Participants) Regulations, 2018, we are enclosing herewith the Reconciliation of Share Capital Report dated October 9, 2020 for Quarter ended September 30, 2020 from M/s. Pramod Shah & Associates, Practicing Company Secretaries.

This is for your information and records.

Thanking you,

Yours faithfully,

For **Prime Securities Limited**

  
**Ajay Shah**  
Company Secretary  
(ACS-14359)



**Prime Securities Limited**

1109 / 1110, Maker Chambers V,  
Nariman Point, Mumbai 400 021.  
CIN: L67120MH1982PLC026724  
Website: www.primesec.com

Tel : +91-22-6184 2525  
Fax: +91-22-2497 0777

## RECONCILIATION OF SHARE CAPITAL AUDIT REPORT

1. **For Quarter Ended:** 30.09.2020
2. **ISIN:** INE032B01021
3. **Face Value:** INR 5 /-
4. **Name of the Company:** Prime Securities Limited
5. **Registered Office Address:** 1109 / 1110, Maker Chamber V, Nariman Point, Mumbai, Maharashtra, 400021
6. **Correspondence Address:** 1109 / 1110, Maker Chamber V, Nariman Point, Mumbai, Maharashtra, 400021
7. **Telephone & Fax Nos. Tel.:** 022-61842525, Fax: 022-24970777
8. **Email address:** prime@primesec.com
9. **Names of the Stock Exchanges where the company's securities are listed:** BSE Ltd. And National Stock Exchange of India Limited

	<b>Number of shares</b>	<b>% of Total Issued Cap.</b>
<b>10. Issued Capital</b>	2,72,64,525	100.00%
<b>11. Listed Capital (Exchange-wise) (as per company records)</b>	2,65,15,325	97.25% on BSE and NSE
<b>12. Held in dematerialised form in CDSL</b>	94,95,441	35.82%
<b>13. Held in dematerialised form in NSDL</b>	1,57,40,520	59.36%
<b>14. Physical</b>	12,79,364	4.82%
<b>15. Total No.of shares (12+13+14)</b>	2,65,15,325	100.00%

16 (1). **Reasons for difference if any, between (10&11):**

7,48,600	Shares have been forfeited but not reissued
600	Shares have been reissued but not subscribed
7,49,200	Total Difference between 10 & 11

**16 (2). Reasons for difference if any, between (10 & 15):**

7,48,600	Shares have been forfeited but not reissued
600	Shares have been reissued but not subscribed
7,49,200	Total Difference between 10 & 15

**16 (3). Reasons for difference if any, between (11 & 15): None**

**17. Certifying the details of changes in share capital during the quarter under consideration as per Table below:**

Particulars***	No. of shares	Applied/ Not Applied for listing	Stock Exchanges (Specify Names)	whether intimated to CDSL	whether intimated to NSDL	In-principal approval pending for SE (Specify Names)
NIL	NIL	NIL	NIL	NIL	N.A.	N.A.

**\*\*\* Rights, Bonus, Preferential Issue, ESOPs, Amalgamation, Conversion, Buyback, Capital Reduction, Forfeiture, any other (to specify).**

**18. Register of Members is updated (Yes/ No), If not, updated upto which date: YES**

**19. Reference of previous quarter with regards to excess dematerialised shares, if any: N.A.**

**20. Has the company resolved the matter mentioned in point no.19 above in the current quarter? If not, reason why: N.A.**

**21. Mentioned the total no.of requests, if any, confirmed after 30 days and the total no. of requests pending beyond 30 days with the reasons for delay:**

<b>Total No. of demat requests</b>	<b>No. of requests</b>	<b>No. of shares</b>	<b>Reasons for delay</b>
<b>Confirmed after 30 Days</b>	N.A.	N.A.	N.A.
<b>Pending for more than 30 days</b>	N.A.	N.A.	N.A.

**22. Name, Telephone & Fax No. of the Compliance Officer of the Co.:**

Mr. Ajay Shah - Compliance Officer and Company Secretary. Tel. & Fax No.: 022 - 61842525, 022 - 24970777

**23. Name, Address, Tel. & Fax No., Regn. no. of the Auditor:** Pramod S. Shah - Partner  
Pramod S. Shah & Associates

**Address:** 3rd Floor, LA-SHEWA Bldg., Next to Fedex, 233, P D' Mello Road, Opp. St. George Hospital, Near CST, Mumbai-400 001. Tele fax: 022 22717700

**FCS: 334 C. P. No.: 3804**

**24. Appointment of common agency for share registry work:**

Link Intime India Pvt. Ltd

**Address:** C 101, 247 Park, L.B.S. Marg, Vikhroli (West), Mumbai, Maharashtra - 400083

**25. Any other detail that the auditor may like to provide. (e.g. BIFR company, delisting from SE, company changed its name etc.):** N.A.

**Date:** October 9, 2020

**Place:** Mumbai

**Name of the Auditor:**

Pramod S. Shah & Associates

PRAMOD  
SHANTILAL SHAH

Chartered Accountants  
Firm No. 15177/01/2019  
Mumbai

Pramod S. Shah

Senior Partner

**Membership No.:** F334

**C. P. No.:** 3804

**UDIN:** F000334B000894018