

# PRIME

April 24, 2020

**Corporate Relationship Department**

**Bombay Stock Exchange Limited**

Phiroze Jeejeebhoy Towers, Dalal Street,  
Fort, Mumbai 400001

**Capital Markets-Listing**

**National Stock Exchange of India Ltd**

Exchange Plaza, 5<sup>th</sup> Floor, Plot No. C/1,  
G Block, Bandra Kurla Complex, Bandra (East),  
Mumbai 400051

Dear Sir,

**Sub: Regulation 40(9) & 40(10) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015**

**Re: Stock Code: 500337 (BSE) / PRIMESECU (NSE)**

Pursuant to the provisions of Regulation 40(9) & 40(10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith the Certificate dated April 23, 2020, from M/s. Pramod Shah & Associates, Practicing Company Secretaries, for the Half-Year ended March 31, 2020.

This is for your information and records.

Thanking you,

Yours faithfully,

For **Prime Securities Limited**

  
Ajay Shah  
Company Secretary  
(ACS-14359)

**Prime Securities Limited**

1109 / 1110, Maker Chambers V,  
Nariman Point, Mumbai 400 021.  
CIN: L67120MH1982PLC026724  
Website: www.primesec.com

Tel : +91-22-6184 2525  
Fax: +91-22-2497 0777

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND  
DISCLOSURE REQUIREMENTS) REGULATIONS 2015**

**FOR THE HALF YEAR ENDED 31<sup>ST</sup> MARCH, 2020**

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Prime Securities Limited** maintained by **Link Intime India Private Limited (the RTA)** pertaining to transfer of equity shares of the Company for the period from **01<sup>st</sup> October, 2019** to **31<sup>st</sup> March, 2020** for the purpose of issuing a Certificate as per Clause 40(9) of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 entered into by **Prime Securities Limited** with Stock Exchanges and based on the information provided by the Company, We hereby certify that the Company has delivered during half year ended on **31<sup>st</sup> March, 2020**:

- A) Share Certificate(s) relating to the transfer of Shares received during the period from **01<sup>st</sup> October, 2019** to **31<sup>st</sup> March, 2020** as entered in the Memorandum of Transfers and had been issued within thirty days from respective date of lodgment of each deed excepting those rejected on technical grounds.
- B) The Company has not received any request for issue of duplicate share certificates and has received requests for transmission of shares during the period from **01<sup>st</sup> October, 2019** to **31<sup>st</sup> March, 2020** and the certificates for the same has been issued within thirty days from respective date of lodgment.

**Date:** 23<sup>rd</sup> April, 2020  
**Place:** Mumbai

Pramod  
Shantil Shah

Digitally signed by Pramod Shantil Shah  
DN: c=IN, o=Personal,  
postalCode=400007, st=MAHARASHTRA,  
serialNumber=0225885380c3f1948b  
238A49030bca6c255c67739c7b2c7e1e1  
072816, cn=Pramod Shantil Shah  
Date: 2020.04.23 13:58:31 +05'30'

For Pramod S. Shah & Associates  
Pramod S. Shah - Partner  
Membership No. 334 (FCS)  
C. P. No.: 3804  
UDIN: F000334B000173364