

# PRIME

January 15, 2020

**Corporate Relationship Department**  
**Bombay Stock Exchange Limited**

Phiroze Jeejeebhoy Towers, Dalal Street,  
Fort, Mumbai 400001

**Capital Markets-Listing**

**National Stock Exchange of India Ltd**

Exchange Plaza, 5<sup>th</sup> Floor, Plot No. C/1,  
G Block, Bandra Kurla Complex, Bandra (East),  
Mumbai 400051

Dear Sir,

**Sub: Regulation 76(1) of the Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018**

**Re: Stock Code: 500337 (BSE) / PRIMESECU (NSE)**

Pursuant to the provisions of Regulation 76(1) of SEBI (Depositories and Participants) Regulations, 2018, we are enclosing herewith the Reconciliation of Share Capital Report dated January 14, 2020 for Quarter ended December 31, 2019 from M/s. Pramod Shah & Associates, Practicing Company Secretaries.

This is for your information and records.

Thanking you,

Yours faithfully,

For **Prime Securities Limited**

  
**Ajay Shah**

**Company Secretary**  
(ACS-14359)

# Pramod S. Shah & Associates

Practising Company Secretaries

3rd Floor, LA-SHEWA Bldg., Next to Fedex, 233, P. D' Mello Road, Opp. St. George Hospital, Near CST, Mumbai - 400 001.  
Tel. : 91-22-2271 7700 / 91-22-2270 1040 • Email : saurabhshah@psaprofessionals.com • Website : cpspa.co.in

RECONCILIATION OF SHARE CAPITAL AUDIT REPORT							
1	For Quarter Ended	:	31.12.2019				
2	ISIN	:	INE032B01021				
3	Face Value	:	INR 5 /-				
4	Name of the Company	:	PRIME SECURITIES LIMITED				
5	Registered Office Address	:	1109 / 1110, MAKER CHAMBER V, NARIMAN POINT, MUMBAL, MAHARASHTRA, 400021				
6	Correspondence Address	:	1109 / 1110, MAKER CHAMBER V, NARIMAN POINT, MUMBAL, MAHARASHTRA, 400021				
7	Telephone & Fax Nos.	:	Tel.: 022-61842525, Fax: 022-24970777				
8	Email address	:	prime@primesec.com				
9	Names of the Stock Exchanges where the company's securities are listed :		BSE Ltd.		National Stock Exchange of India Limited		
10	Issued Capital		Number of shares	% of Total Issued Cap.			
			2,72,64,525	100.00%			
11	Listed Capital (Exchange-wise)		26515325	97.25% on BSE and NSE			
	(as per company records)						
12	Held in dematerialised form in CDSL		7438774	28.05%			
13	Held in dematerialised form in NSDL		17791037	67.10%			
14	Physical		1285514	4.85%			
15	Total No. of shares (12+13+14)	:	26515325	100.00%			
16	1. Reasons for difference if any, between (10&11) :						
	748600		Shares have been forfeited but not reissued				
	600		Shares have been reissued but not subscribed				
	749200		Total Difference between 10 & 11				
	2. Reasons for difference if any, between (10&15) :						
	748600		Shares have been forfeited but not reissued				
	600		Shares have been reissued but not subscribed				
	749200		Total Difference between 10 & 15				
	3. Reasons for difference if any, between (11&15) :						
	None						
17	Certifying the details of changes in share capital during the quarter under consideration as per Table below :						
	Particulars***	No. of shares	Applied/ Not Applied for listing	Stock Exchanges (Specify Names)	whether intimated to CDSL	whether intimated to NSDL	In-prin. appr. pending for SE (Specify Names)
	Nil	Nil	Nil	Nil	Nil	NA	NA
	*** Rights, Bonus, Preferential Issue, ESOPs, Amalgamation, Conversion, Buyback, Capital Reduction Forfeiture, Any other (to specify).						



18	Register of Members is updated (Yes / No) If not, updated upto which date	:	YES
19	Reference of previous quarter with regards to excess dematerialised shares, if any.	:	N.A.
20	Has the company resolved the matter mentioned in point no.19 above in the current quarter? If not, reason why?	:	N.A.
21	Mentioned the total no. of requests, if any, confirmed after 30 days and the total no. of requests pending beyond 30 days with the reasons for delay:		
	Total No. of demat requests	No. of requests	No. of shares
	Confirmed after 30 Days	NA	NA
	Pending for more than 30 days	NA	NA
22	Name, Telephone & Fax No. of the Compliance Officer of the Co.	Mr. Ajay Shah Compliance Officer and Company Secretary. Tel. & Fax No. : 022 - 61842525, 022 - 24970777	
23	Name, Address, Tel. & Fax No., Regn. no. of the Auditor	Pramod S. Shah - Partner Pramod S. Shah & Associates 3rd Floor, LA-SHEWA Bldg., Next to Fedex, 233, P D' Mello Road, Opp. St. George Hospital, Near CST, Mumbai-400 001. FCS: 334 C. P. No.: 3804 Tele fax: 022 22717700	
24	Appointment of common agency for share registry work	Link Intime India Pvt. Ltd C 101, 247 Park, L.B.S. Marg, Vikhroli (West), Mumbai, Maharashtra, 400083	
25	Any other detail that the auditor may like to provide. (e.g. BIFR company, delisting from SE, company changed its name etc.)		NA
<p>Date : 14th January, 2020</p> <p>Place: Mumbai</p> <p style="text-align: right;">Name of the Auditor : Pramod S. Shah &amp; Associates</p> <div style="display: flex; justify-content: space-between; align-items: center;">  <div style="text-align: right;"> <p>Pramod S. Shah Senior Partner Pramod S. Shah &amp; Associates Membership No. : F334 C. P. No. : 3804 UDIN: F000334B000049889</p> </div> </div>			