

# PRIME

April 20, 2016

**Corporate Relationship Department**  
**Bombay Stock Exchange Limited**  
Phiroze Jeejeebhoy Towers, Dalal Street,  
Fort, Mumbai 400001

**Capital Markets-Listing**  
**National Stock Exchange of India Ltd**  
Exchange Plaza, 5<sup>th</sup> Floor, Plot No. C/1,  
G Block, Bandra Kurla Complex, Bandra (East),  
Mumbai 400051

Dear Sir,

**Sub: Regulation 40(9) & 40(10) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015**

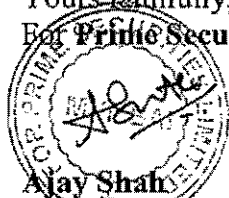
**Re: Stock Code: 500337 (BSE) / PRIMESECU (NSE)**

Pursuant to the provisions of Regulation 40(9) & 40(10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith the certificate dated April 20, 2016, from M/s. Pramod Shah & Associates, Practising Company Secretaries, for the half-year ended March 31, 2016.

This is for your information and records.

Thanking you,

Yours faithfully,  
For Prime Securities Limited



Ajay Shah  
Company Secretary

Encl: as stated

**Prime Securities Limited**

1109 / 1110, Maker Chambers V,  
Nariman Point, Mumbai 400 021.  
CIN: L67120MH1982PLC026724

Tel : +91-22-6184 2525  
Fax: +91-22-2497 0777



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**PRAMOD S. SHAH & ASSOCIATES**  
**Practising Company Secretaries**

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**CERTIFICATE UNDER REGULATION 40 (9) OF THE SECURITIES AND  
EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND  
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF  
YEAR ENDED MARCH 31, 2016**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to *Prime Securities Limited* maintained by *Sharepro Services (India) Private Limited* pertaining to transfer of equity shares of the Company for the period from *October 01, 2015 to March 31, 2016* for the purpose of issuing a Certificate as per Regulation 40 (9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 entered into by, *Prime Securities Limited* with Stock Exchanges and based on the information provided by the Company, I hereby certify that during half year ended **March 31, 2016**:

Share/Debtenture Certificate(s) relating to the transfer of Shares/Debtentures received during the period from *October 01, 2015 to March 31, 2016* as entered in the Memorandum of Transfers have been issued within Thirty days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.



For Pramod S. Shah & Associates

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Pramod.S. Shah - Partner  
Practising Company Secretary  
Membership No. 334  
C.P. No. 3804

Date: April 20, 2016  
Place: Mumbai