## PRIME SECURITIES LIMITED QUARTERLY COMPLIANCE REPORT ON CORPORATE GOVERNANCE QUARTER ENDING ON: SEPTEMBER 30, 2014

49 (IA) Composition of Board Yes 49 (IB) Non-executive Directors' yes compensation & disclosures yes and Committees Yes and Committees Yes Conduct by the Brand Members and the Senior Management Personnel will be incorporated in the Annual Report of the Company.  49 (II) Audit Committee Government Yes Committee Yes 49 (III) Release of Audit Committee Yes 49 (III) Release of Audit Committee Yes Yes Audit Committe	Gaute of Useing	Maria e Partienta valvada	Comblance	Remarka was sawasa kata Gara Bara Sawa
49 (IA) Composition of Buard Yes 49 (IB) Non-executive Directors' Yes compensation & disclosures 49 (IC) Other provisions as to Board and Committees 49 (ID) Code of Conduct Yus The declaration of compliance with the Code of Conduct by the Board Members and the Senior Management Personnel will be incorporated in the Annual Report of the Company.  49 (II) Audit Committee 49 (III) Qualified & Independent Audit Yes 49 (III) Meeting of Audit Committee Yes 49 (III) Role of Audit Committee Yes 49 (III) Role of Audit Committee Yes 49 (III) Role of Audit Committee Yes 49 (III) Review of Information by Audit Committee Yes 49 (III) Subsidiary Companies No The Company is in the process of appointing Independent Director of the Company on the board of material subsidiary company.  49 (IV A) Basis of related party Yes 49 (IV A) Basis of related party Yes 49 (IV B) Disclosures Alexandro A				
49 (IB) Non-executive Directors' compensation & disclosures 49 (IC) Other provisions as to Board and Committees 49 (ID) Code of Conduct  49 (ID) Code of Conduct  49 (II) Audit Committee 49 (III) Audit Committee 49 (III) Qualified & Independent Audit Committee 49 (III) Meeting of Audit Committee 49 (III) Role of Audit Committee 49 (III) Review of Information by Audit Committee 49 (III) Review of Information by Audit Committee 49 (III) Subsidiary Companies  49 (IV) Disclosures 49 (IV) Disclosures 49 (IV) Disclosures 49 (IV) Proceeds from public issues, rights issues, Preferential issues etc. 49 (IV) Remortation of Directors 49 (IV) Report of the Company.  80 Nocessary disclosures will be made in the Annual Report of the Company.  81 Nocessary disclosures will be made in the Annual Report of the Company.  82 Nocessary certification will be incorporated in the Annual Report of the Company.  83 Nocessary certification will be incorporated in the Annual Report of the Company.  849 (IV) Report on Corporate 649 (VI) Report on Corporate 649 (VI) Report of Corporate 649 (VII) Report of Corporate 749 (VII) Report of Corporate 749 (VII) Report of Corporate 749 (VIII) Report of Corporate	49 [	Board of Directors		
compensation & disclosures  49 (IC) Other provisions as to Board and Committees  49 (ID) Code of Conduct  49 (II) Audit Committee  49 (II) Audit Committee  49 (III) Qualified & Independent Audit Committee  49 (III) Meeting of Audit Committee  49 (III) Powers of Audit Committee  49 (III) Role of Audit Committee  49 (III) Powers of Audit Committee  49 (III) Role of Audit Committee  49 (III) Subsidiary Compantes  No The Company is in the process of appointing Independent Director of the Company on the board of material subsidiary company.  49 (IV A) Basis of related party transactions  49 (IV B) Disclosures Yes  49 (IV B) Disclosure of Accounting Treatment  49 (IV D) Proceeds from public issues, inglist issues, orgits issues etc.  49 (IV E) Remuncration of Directors  49 (IV F) Management  49 (IV F) Management  49 (IV G) Shareholders  Yes  49 (IV G) Shareholders  Yes  Necessary disclosures will be made in the Annual Report of the Company.	49 (IA)		Yes	
49 (IC) Other provisions as to Board and Committees 49 (ID) Code of Conduct  49 (II) Audit Committee 49 (IIA) Qualified & Independent Audit Yes Committee 49 (IIB) Meeting of Audit Committee 49 (IIB) Meeting of Audit Committee 49 (IID) Role of Audit Committee 49 (IID) Role of Audit Committee 49 (III) Subsidiary Compantes  49 (III) Subsidiary Compantes  49 (III) Subsidiary Compantes  49 (IV) Disclosures  49 (IV B) Disclosure of Accounting Treatment 49 (IV C) Board Disclosures Risk Management 49 (IV C) Remuncration of Directors  49 (IV E) Remuncration of Directors  49 (IV G) Shareholders  49 (IV G) Report on Corporate 49 (IV G) Report of the Company.  7	49 (IB)	Non-executive Directors'	Yes	
and Committees  49 (ID)  Code of Conduct  Yes  The declaration of compliance with the Code of Conduct by the Board Members and the Senior Management Personnel will be incorporated in the Annual Report of the Company.  49 (II)  Audit Committee  49 (IIIA)  Qualified & Independent Audit Yes Committee  49 (IIB)  Meeting of Audit Committee  49 (IIC)  Powers of Audit Committee  49 (IIIC)  Review of Information by Yes Audit Committee  49 (III)  Subsidiary Companies  No The Company is in the process of appointing Independent Director of the Company on the board of material subsidiary company.  49 (IV)  Disclosures  49 (IV B)  Disclosures Yes  49 (IV B)  Disclosures Accounting Treatment  49 (IV C)  Board Disclosures-Risk Management  49 (IV D)  Proceeds from public issues, rights issues, rights issues, preferential issues etc.  49 (IV E)  Remuncration of Directors  Yes  Necessary disclosures will be made in the Annual Report of the Company.  49 (IV F)  Management  Yes  Necessary disclosures will be made in the Annual Report of the Company.  49 (IV G)  Shareholders  Yes  Necessary certification will be incorporated in the Annual Report of the Company.  Yes  Necessary certification will be incorporated in the Annual Report of the Company.			•	
49 (ID) Code of Conduct  The declaration of compliance with the Code of Conduct by the Board Members and the Senior Management Personnel will be incorporated in the Annual Report of the Company.  49 (II) Audit Committee 49 (IIA) Qualified & Independent Audit Yes 49 (IIB) Meeting of Audit Committee 49 (IID) Role of Audit Committee 49 (IID) Role of Audit Committee 49 (IID) Role of Audit Committee 49 (III) Subsidiary Companies  No The Company is in the process of appointing Independent Director of the Company on the board of material subsidiary company.  49 (IV) Disclosures 49 (IV A) Basis of related party Yes 49 (IV B) Disclosure of Accounting Yes Treatment 49 (IV C) Board Disclosures- Risk Management  49 (IV C) Roman public issues, rights issues, rights issues, preferential issues etc.  49 (IV F) Management  49 (IV F) Management  49 (IV G) Shareholders Yes Necessary disclosures will be made in the Annual Report of the Company.  Necessary disclosures will be made in the Annual Report of the Company.  Necessary disclosures will be made in the Annual Report of the Company.  Necessary disclosures will be made in the Annual Report of the Company.  Necessary certification will be incorporated in the Annual Report of the Company.  Necessary certification will be incorporated in the Annual Report of the Company.	49 (IC)		Yes	
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49 (IIC) Powers of Audit Committee 49 (IID) Role of Audit Committee 49 (IIE) Review of Information by Audit Committee 49 (III) Subsidiary Companies  No The Company is in the process of appointing Independent Director of the Company on the troard of material subsidiary company.  49 (IV) Disclosures 49 (IV A) Basis of related party transactions 49 (IV B) Disclosure of Accounting Treatment  49 (IV C) Board Disclosures-Risk Yes Management  49 (IV D) Proceeds from public issues, INA. Necessary disclosures will be made in the Annual rights issues etc.  49 (IV E) Remuneration of Directors Yes Necessary disclosures will be made in the Annual Report of the Company.  49 (IV F) Management Yes Necessary disclosures will be made in the Annual Report of the Company.  49 (IV G) Shareholders Yes Necessary certification will be incorporated in the Annual Report of the Company.  80 (IV G) Shareholders Yes Necessary certification will be incorporated in the Annual Report of the Company.  90 (IV G) Report on Corporate Yes Necessary certification will be incorporated in the Annual Report of the Company.	49 (IIA)		Yes	
49 (IID) Role of Audit Committee  49 (IIE) Review of Information by Audit Committee  49 (III) Subsidiary Companies  No The Company is in the process of appointing Independent Director of the Company on the board of material subsidiary company.  49 (IV A) Basis of related party transactions  49 (IV B) Disclosure of Accounting Yes Treatment  49 (IV C) Board Disclosures- Risk Yes Management  49 (IV D) Proceeds from public issues, inglist issues, preferential issues etc.  49 (IV E) Remuneration of Directors Yes Necessary disclosures will be made in the Annual Report of the Company.  49 (IV F) Management Yes Necessary disclosures will be made in the Annual Report of the Company.  49 (IV F) Management Yes Necessary disclosures will be made in the Annual Report of the Company.  49 (IV F) Management Yes Necessary disclosures will be made in the Annual Report of the Company.  49 (IV G) Shareholders Yes Necessary certification will be incorporated in the Annual Report of the Company.  49 (VI) Report on Corporate Yes Necessary certification will be incorporated in the Annual Report of the Company.	49 (IIB)	Meeting of Audit Committee	Yes	
49 (IIE) Review of Information by Audit Committee  49 (III) Subsidiary Companies  No The Company is in the process of appointing Independent Director of the Company on the board of material subsidiary company.  49 (IV A) Basis of related party transactions  49 (IV B) Disclosures Yes  49 (IV C) Board Disclosures-Risk Yes  Management  49 (IV C) Board Disclosures-Risk Yes  Management  49 (IV D) Proceeds from public issues, rights issues, preferential issues etc.  49 (IV E) Remuneration of Directors Yes Necessary disclosures will be made in the Annual Report of the Company.  49 (IV F) Management Yes  49 (IV G) Shareholders Yes  49 (V) CEO/CFO Certification Yes Necessary certification will be incorporated in the Annual Report of the Company.  49 (VI) Report on Corporate Yes Occupance	49 (IIC)	Powers of Audit Committee	Yes	
Audit Committee  49 (III)  Subsidiary Companies  No The Company is in the process of appointing Independent Director of the Company on the board of material subsidiary company.  49 (IV A)  Basis of related party Yes transactions  Usclosures of Accounting Yes Treatment  49 (IV B)  Board Disclosures- Risk Yes Management  49 (IV D)  Proceeds from public issues, inglits issues, preferential issues etc.  49 (IV E)  Remuneration of Directors  Yes Necessary disclosures will be made in the Annual Report of the Company.  49 (IV F)  Management  Yes  49 (IV F)  Management  Yes  49 (IV F)  Management  Yes  49 (IV G)  Shareholders  Yes  Necessary certification will be incorporated in the Annual Report of the Company.  Yes  Necessary certification will be incorporated in the Annual Report of the Company.  Yes  Necessary certification will be incorporated in the Annual Report of the Company.  Yes  Necessary certification will be incorporated in the Annual Report of the Company.	49 (HD)	Role of Audit Committee	Yes	
49 (IV) Disclosures 49 (IV A) Basis of related party transactions 49 (IV B) Disclosures Yes  49 (IV B) Disclosures Yes  49 (IV B) Disclosure of Accounting Yes Treatment  49 (IV C) Board Disclosures- Risk Management  49 (IV D) Proceeds from public issues, rights issues, preferential issues etc, Remuneration of Directors  49 (IV E) Remuneration of Directors  49 (IV F) Management  49 (IV F) Management  49 (IV G) Shareholders  49 (IV G) Report on Corporate Governance  No Company is in the process of appointing Independent Director of the Company.  The Company is in the process of appointing Independent Director of the Company.  The Company is in the process of appointing Independent Director of the Company.  Yes  No Company is in the process of appointing Independent Director of the Company.  No Company is in the process of appointing Independent Director of the Company.  No Company is in the process of appointing Independent Director of the Company.  No Company is in the process of appointing Independent Director of the Company.	49 (IIE)	I "	Yes	
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transactions  49 (IV B) Disclosure of Accounting Yes Treatment  49 (IV C) Board Disclosures- Risk Yes Management  49 (IV D) Proceeds from public issues, rights issues, preferential issues etc.  49 (IV E) Remuneration of Directors Yes Necessary disclosures will be made in the Annual Report of the Company.  49 (IV F) Management Yes  49 (IV F) Management Yes  49 (IV G) Shareholders Yes  49 (IV G) Shareholders Yes  49 (IV G) Report on Corporate Yes Necessary certification will be incorporated in the Annual Report of the Company.	49 (1V)	Disclosures	Yes	
Treatment  49 (IV C) Board Disclosures- Risk Yes Management  49 (IV D) Proceeds from public issues, rights issues, preferential issues etc.  49 (IV E) Remuneration of Directors Yes Necessary disclosures will be made in the Annual Report of the Company.  49 (IV E) Management Yes  49 (IV F) Management Yes  49 (IV G) Shareholders Yes  49 (IV G) Report on Corporate Yes Necessary certification will be incorporated in the Annual Report of the Company.	49 (IV A)	* *	Yes	
Management  49 (IV D) Proceeds from public issues, rights issues, preferential issues etc.  49 (IV E) Remuneration of Directors Yes Necessary disclosures will be made in the Annual Report of the Company.  49 (IV F) Management Yes  49 (IV G) Shareholders Yes  49 (IV G) Report on Corporate Yes Necessary confication will be incorporated in the Annual Report of the Company.  49 (IV G) Report on Corporate Yes Necessary confication will be incorporated in the Annual Report of the Company.	49 (IV B)	****	Yes	
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Report of the Company.   49 (IV F)   Management   Yes   49 (IV G)   Shareholders   Yes   49 (IV G)   CEO/CFO Certification   Yes   Necessary certification will be incorporated in the Annual Report of the Company.   49 (VI)   Report on Corporate   Yes   Governance   Yes   Yes   Governance   Yes	49 (IV D)	rights issues, preferential		Necessary disclosures will be made in the Annual Report of the Company.
49 (IV F) Management Yes 49 (IV G) Shareholders Yes 49 (V) CEO/CFO Certification Yes Necessary conflication will be incorporated in the Annual Report of the Company.  49 (VI) Report on Corporate Yes Governance	49 (IV E)		Yes	Necessary disclosures will be made in the Annual Report of the Company.
49 (V G) Shareholders Yes 49 (V) CEO/CFO Certification Yes Necessary conflication will be incorporated in the Annual Report of the Company.  49 (VI) Report on Corporate Yes Governance	49 (IV F)	Management	Yes	
Annual Report of the Company.  49 (VI) Report on Corporate Yes Governance		±	Yes	***************************************
49 (VI) Report on Corporate Yes Governance	49 (V)	CEO/CFO Certification	Yes	Necessary certification will be incorporated in the Annual Report of the Company.
	49 (YI)		Yes	
	49 (VII)	Compliance	Yes	

Prime Securities Limited

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