

General information about company	
Scrip code	500337
NSE Symbol	PRIME SECURITIES LIMITED
MSEI Symbol	
ISIN	INE032B01021
Name of the entity	PRIME SECURITIES LIMITED
Date of start of financial year	01-04-2019
Date of end of financial year	31-03-2020
Reporting Quarter	Quarterly
Date of Report	30-06-2019
Risk management committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Top 2000 listed entities

## Annexure I

## Annexure I to be submitted by listed entity on quarterly basis

## I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory													
Whether the listed entity has a Regular Chairperson									Yes				
Whether Chairperson is related to MD or CEO									No				
PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
ABAPD7732R	01445030	Non-Executive - Independent Director	Chairperson		25-08-1948	29-01-2004	01-03-2015		60	2	2	2	1
AADPN1741L	00046048	Executive Director	Not Applicable	MD	31-12-1960	12-02-2011	12-02-2016		60	1	0	0	0
AAIEP5300N	06796621	Non-Executive - Independent Director	Not Applicable		22-01-1963	27-03-2015	27-03-2015		60	4	4	5	0
AALPS0639Q	03096740	Executive Director	Not Applicable		07-08-1959	25-01-2011	01-03-2015		60	1	0	1	0

I. Composition of Board of Directors																
Disclosure of notes on composition of board of directors explanatory																
Wether the listed entity has a Regular Chairperson																
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
5	Ms	Smita Affinwalla	AAAPA8215F	07106628	Non-Executive - Independent Director	Not Applicable		04-11-1962	14-02-2019	14-02-2019		60	2	2	3	1
6	Ms	Namrata Kaul	ABCPK7626D	00994532	Non-Executive - Independent Director	Not Applicable		15-03-1964	14-02-2019	14-02-2019		60	1	1	2	0

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	01445030	Pradip Dubhashi	Non-Executive - Independent Director	Chairperson	01-03-2015		
2	06796621	Alpana Parida	Non-Executive - Independent Director	Member	27-03-2015		
3	07106628	Smita Affinwalla	Non-Executive - Independent Director	Member	14-02-2019		
4	00994532	Namrata Kaul	Non-Executive - Independent Director	Member	14-02-2019		
5	03096740	S. R. Sharma	Executive Director	Member	01-03-2015		

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06796621	Alpana Parida	Non-Executive - Independent Director	Chairperson	27-03-2015		
2	01445030	Pradip Dubhashi	Non-Executive - Independent Director	Member	01-03-2015		
3	07106628	Smita Affinwalla	Non-Executive - Independent Director	Member	14-02-2019		

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07106628	Smita Affinwalla	Non-Executive - Independent Director	Chairperson	14-02-2019		
2	06796621	Alpana Parida	Non-Executive - Independent Director	Member	27-03-2015		
3	00994532	Namrata Kaul	Non-Executive - Independent Director	Member	14-02-2019		

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00994532	Namrata Kaul	Non-Executive - Independent Director	Chairperson	14-02-2019		
2	01445030	Pradip Dubhashi	Non-Executive - Independent Director	Member	01-03-2015		
3	00046048	N. Jayakumar	Executive Director	Member	12-02-2016		



Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

Annexure 1							
Annexure 1							
III. Meeting of Board of Directors							
Disclosure of notes on meeting of board of directors explanatory							
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
1	14-02-2019				Yes	5	3
2		18-05-2019	92		Yes	6	4

Annexure 1								
IV. Meeting of Committees								
Disclosure of notes on meeting of committees explanatory								
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
1	Audit Committee	14-02-2019				Yes	3	2
2	Audit Committee	18-05-2019	92			Yes	4	4

Annexure 1			
V. Related Party Transactions			
Sr	Subject	Compliance status (Yes/No/NA)	If status is “No” details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	NA	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA	

Annexure 1		
VI. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 100 listed entities)	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

Annexure 1		
Sr	Subject	Compliance status
1	Name of signatory	AJAY SHAH
2	Designation	Company Secretary and Compliance Officer

Signatory Details	
Name of signatory	AJAY SHAH
Designation of person	Company Secretary and Compliance Officer
Place	MUMBAI
Date	12-07-2019

