

Prime Securities Limited

Investor Complaints Data - March 2024:

Sr. No.	Received from	Pending as at the end of last month	Received during particular month	Received during particular month (*)	Total Pending during particular month (#)	Pending complaints > 1 month	Average Resolution time (^) (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORE S)	Nil	Nil	Nil	Nil	Nil	Nil
3	Stock Exchange (If relevant)	Nil	Nil	Nil	Nil	Nil	Nil
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil
5	Grand Total	Nil	Nil	Nil	Nil	Nil	Nil

Trend of monthly disposal of complaints for the financial year 2023-24:

Sr. No.	Month	Carried forward from previous month	Received during particular month	Resolved during particular month (*)	Pending at the end of particular month (#)
1	April 2023	Nil	Nil	Nil	Nil
2	May 2023	Nil	Nil	Nil	Nil
3	June 2023	Nil	Nil	Nil	Nil
4	July 2023	Nil	Nil	Nil	Nil
5	August 2023	Nil	Nil	Nil	Nil
6	September 2023	Nil	Nil	Nil	Nil
7	October 2023	Nil	Nil	Nil	Nil
8	November 2023	Nil	Nil	Nil	Nil
9	December 2023	Nil	Nil	Nil	Nil
10	January 2024	Nil	Nil	Nil	Nil
11	February 2024	Nil	Nil	Nil	Nil
12	March 2024	Nil	Nil	Nil	Nil

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

* Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month

Trend of annual (financial year) disposal of complaints (for 3 years on rolling basis):

Sr. No.	Year	Carried forward from previous year	Received during particular year	Resolved during particular year	Pending at the end of particular year
1	2019-20	Nil	Nil	Nil	Nil
2	2020-21	Nil	Nil	Nil	Nil
3	2021-22	Nil	Nil	Nil	Nil
4	2021-23	Nil	Nil	Nil	Nil

Investor Complaints Data - February 2024:

Sr. No.	Received from	Pending as at the end of last month	Received during particular month	Received during particular month (*)	Total Pending during particular month (#)	Pending complaints > 1 month	Average Resolution time (^) (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORE S)	Nil	Nil	Nil	Nil	Nil	Nil
3	Stock Exchange (If relevant)	Nil	Nil	Nil	Nil	Nil	Nil
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil
5	Grand Total	Nil	Nil	Nil	Nil	Nil	Nil

Trend of monthly disposal of complaints for the financial year 2023-24:

Sr. No.	Month	Carried forward from previous month	Received during particular month	Resolved during particular month (*)	Pending at the end of particular month (#)
1	April 2023	Nil	Nil	Nil	Nil
2	May 2023	Nil	Nil	Nil	Nil
3	June 2023	Nil	Nil	Nil	Nil
4	July 2023	Nil	Nil	Nil	Nil
5	August 2023	Nil	Nil	Nil	Nil
6	September 2023	Nil	Nil	Nil	Nil
7	October 2023	Nil	Nil	Nil	Nil
8	November 2023	Nil	Nil	Nil	Nil
9	December 2023	Nil	Nil	Nil	Nil
10	January 2024	Nil	Nil	Nil	Nil
11	February 2024	Nil	Nil	Nil	Nil

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

* Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month

Trend of annual (financial year) disposal of complaints (for 3 years on rolling basis):

Sr. No.	Year	Carried forward from previous year	Received during particular year	Resolved during particular year	Pending at the end of particular year
1	2019-20	Nil	Nil	Nil	Nil
2	2020-21	Nil	Nil	Nil	Nil
3	2021-22	Nil	Nil	Nil	Nil
4	2021-23	Nil	Nil	Nil	Nil

Investor Complaints Data - January 2024:

Sr. No.	Received from	Pending as at the end of last month	Received during particular month	Received during particular month (*)	Total Pending during particular month (#)	Pending complaints > 1 month	Average Resolution time (^) (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORE S)	Nil	Nil	Nil	Nil	Nil	Nil
3	Stock Exchange (If relevant)	Nil	Nil	Nil	Nil	Nil	Nil
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil
5	Grand Total	Nil	Nil	Nil	Nil	Nil	Nil

Trend of monthly disposal of complaints for the financial year 2023-24:

Sr. No.	Month	Carried forward from previous month	Received during particular month	Resolved during particular month (*)	Pending at the end of particular month (#)
1	April 2023	Nil	Nil	Nil	Nil
2	May 2023	Nil	Nil	Nil	Nil
3	June 2023	Nil	Nil	Nil	Nil
4	July 2023	Nil	Nil	Nil	Nil
5	August 2023	Nil	Nil	Nil	Nil
6	September 2023	Nil	Nil	Nil	Nil
7	October 2023	Nil	Nil	Nil	Nil
8	November 2023	Nil	Nil	Nil	Nil
9	December 2023	Nil	Nil	Nil	Nil
10	January 2024	Nil	Nil	Nil	Nil

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

* Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month

Trend of annual (financial year) disposal of complaints (for 3 years on rolling basis):

Sr. No.	Year	Carried forward from previous year	Received during particular year	Resolved during particular year	Pending at the end of particular year
1	2019-20	Nil	Nil	Nil	Nil
2	2020-21	Nil	Nil	Nil	Nil
3	2021-22	Nil	Nil	Nil	Nil
4	2021-23	Nil	Nil	Nil	Nil

Investor Complaints Data - December 2023:

Sr. No.	Received from	Pending as at the end of last month	Received during particular month	Received during particular month (*)	Total Pending during particular month (#)	Pending complaints > 1 month	Average Resolution time (^) (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORE S)	Nil	Nil	Nil	Nil	Nil	Nil
3	Stock Exchange (If relevant)	Nil	Nil	Nil	Nil	Nil	Nil
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil
5	Grand Total	Nil	Nil	Nil	Nil	Nil	Nil

Trend of monthly disposal of complaints for the financial year 2023-24:

Sr. No.	Month	Carried forward from previous month	Received during particular month	Resolved during particular month (*)	Pending at the end of particular month (#)
1	April 2023	Nil	Nil	Nil	Nil
2	May 2023	Nil	Nil	Nil	Nil
3	June 2023	Nil	Nil	Nil	Nil
4	July 2023	Nil	Nil	Nil	Nil
5	August 2023	Nil	Nil	Nil	Nil
6	September 2023	Nil	Nil	Nil	Nil
7	October 2023	Nil	Nil	Nil	Nil
8	November 2023	Nil	Nil	Nil	Nil
9	December 2023	Nil	Nil	Nil	Nil

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

* Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month

Trend of annual (financial year) disposal of complaints (for 3 years on rolling basis):

Sr. No.	Year	Carried forward from previous year	Received during particular year	Resolved during particular year	Pending at the end of particular year
1	2019-20	Nil	Nil	Nil	Nil
2	2020-21	Nil	Nil	Nil	Nil
3	2021-22	Nil	Nil	Nil	Nil
4	2021-23	Nil	Nil	Nil	Nil

Investor Complaints Data - November 2023:

Sr. No.	Received from	Pending as at the end of last month	Received during particular month	Received during particular month (*)	Total Pending during particular month (#)	Pending complaints > 1 month	Average Resolution time (^) (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORE S)	Nil	Nil	Nil	Nil	Nil	Nil
3	Stock Exchange (If relevant)	Nil	Nil	Nil	Nil	Nil	Nil
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil
5	Grand Total	Nil	Nil	Nil	Nil	Nil	Nil

Trend of monthly disposal of complaints for the financial year 2023-24:

Sr. No.	Month	Carried forward from previous month	Received during particular month	Resolved during particular month (*)	Pending at the end of particular month (#)
1	April 2023	Nil	Nil	Nil	Nil
2	May 2023	Nil	Nil	Nil	Nil
3	June 2023	Nil	Nil	Nil	Nil
4	July 2023	Nil	Nil	Nil	Nil
5	August 2023	Nil	Nil	Nil	Nil
6	September 2023	Nil	Nil	Nil	Nil
7	October 2023	Nil	Nil	Nil	Nil
8	November 2023	Nil	Nil	Nil	Nil

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

* Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month

Trend of annual (financial year) disposal of complaints (for 3 years on rolling basis):

Sr. No.	Year	Carried forward from previous year	Received during particular year	Resolved during particular year	Pending at the end of particular year
1	2019-20	Nil	Nil	Nil	Nil
2	2020-21	Nil	Nil	Nil	Nil
3	2021-22	Nil	Nil	Nil	Nil
4	2021-23	Nil	Nil	Nil	Nil

Investor Complaints Data - October 2023:

Sr. No.	Received from	Pending as at the end of last month	Received during particular month	Received during particular month (*)	Total Pending during particular month (#)	Pending complaints > 1 month	Average Resolution time (^) (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORE S)	Nil	Nil	Nil	Nil	Nil	Nil
3	Stock Exchange (If relevant)	Nil	Nil	Nil	Nil	Nil	Nil
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil
5	Grand Total	Nil	Nil	Nil	Nil	Nil	Nil

Trend of monthly disposal of complaints for the financial year 2023-24:

Sr. No.	Month	Carried forward from previous month	Received during particular month	Resolved during particular month (*)	Pending at the end of particular month (#)
1	April 2023	Nil	Nil	Nil	Nil
2	May 2023	Nil	Nil	Nil	Nil
3	June 2023	Nil	Nil	Nil	Nil
4	July 2023	Nil	Nil	Nil	Nil
5	August 2023	Nil	Nil	Nil	Nil
6	September 2023	Nil	Nil	Nil	Nil
7	October 2023	Nil	Nil	Nil	Nil

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

* Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month

Trend of annual (financial year) disposal of complaints (for 3 years on rolling basis):

Sr. No.	Year	Carried forward from previous year	Received during particular year	Resolved during particular year	Pending at the end of particular year
1	2019-20	Nil	Nil	Nil	Nil
2	2020-21	Nil	Nil	Nil	Nil
3	2021-22	Nil	Nil	Nil	Nil
4	2021-23	Nil	Nil	Nil	Nil

Investor Complaints Data - September 2023:

Sr. No.	Received from	Pending as at the end of last month	Received during particular month	Received during particular month (*)	Total Pending during particular month (#)	Pending complaints > 1 month	Average Resolution time (^) (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORE S)	Nil	Nil	Nil	Nil	Nil	Nil
3	Stock Exchange (If relevant)	Nil	Nil	Nil	Nil	Nil	Nil
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil
5	Grand Total	Nil	Nil	Nil	Nil	Nil	Nil

Trend of monthly disposal of complaints for the financial year 2023-24:

Sr. No.	Month	Carried forward from previous month	Received during particular month	Resolved during particular month (*)	Pending at the end of particular month (#)
1	April 2023	Nil	Nil	Nil	Nil
2	May 2023	Nil	Nil	Nil	Nil
3	June 2023	Nil	Nil	Nil	Nil
4	July 2023	Nil	Nil	Nil	Nil
5	August 2023	Nil	Nil	Nil	Nil
6	September 2023	Nil	Nil	Nil	Nil

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

* Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month

Trend of annual (financial year) disposal of complaints (for 3 years on rolling basis):

Sr. No.	Year	Carried forward from previous year	Received during particular year	Resolved during particular year	Pending at the end of particular year
1	2019-20	Nil	Nil	Nil	Nil
2	2020-21	Nil	Nil	Nil	Nil
3	2021-22	Nil	Nil	Nil	Nil
4	2022-23	Nil	Nil	Nil	Nil

Investor Complaints Data - August 2023:

Sr. No.	Received from	Pending as at the end of last month	Received during particular month	Received during particular month (*)	Total Pending during particular month (#)	Pending complaints > 1 month	Average Resolution time (^) (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORE S)	Nil	Nil	Nil	Nil	Nil	Nil
3	Stock Exchange (If relevant)	Nil	Nil	Nil	Nil	Nil	Nil
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil
5	Grand Total	Nil	Nil	Nil	Nil	Nil	Nil

Trend of monthly disposal of complaints for the financial year 2023-24:

Sr. No.	Month	Carried forward from previous month	Received during particular month	Resolved during particular month (*)	Pending at the end of particular month (#)
1	April 2023	Nil	Nil	Nil	Nil
2	May 2023	Nil	Nil	Nil	Nil
3	June 2023	Nil	Nil	Nil	Nil
4	July 2023	Nil	Nil	Nil	Nil
5	August 2023	Nil	Nil	Nil	Nil

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

* Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month

Trend of annual (financial year) disposal of complaints (for 3 years on rolling basis):

Sr. No.	Year	Carried forward from previous year	Received during particular year	Resolved during particular year	Pending at the end of particular year
1	2019-20	Nil	Nil	Nil	Nil
2	2020-21	Nil	Nil	Nil	Nil
3	2021-22	Nil	Nil	Nil	Nil
4	2022-23	Nil	Nil	Nil	Nil

Investor Complaints Data - July 2023:

Sr. No.	Received from	Pending as at the end of last month	Received during particular month	Received during particular month (*)	Total Pending during particular month (#)	Pending complaints > 1 month	Average Resolution time (^) (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORE S)	Nil	Nil	Nil	Nil	Nil	Nil
3	Stock Exchange (If relevant)	Nil	Nil	Nil	Nil	Nil	Nil
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil
5	Grand Total	Nil	Nil	Nil	Nil	Nil	Nil

Trend of monthly disposal of complaints for the financial year 2023-24:

Sr. No.	Month	Carried forward from previous month	Received during particular month	Resolved during particular month (*)	Pending at the end of particular month (#)
1	April 2023	Nil	Nil	Nil	Nil
2	May 2023	Nil	Nil	Nil	Nil
3	June 2023	Nil	Nil	Nil	Nil
4	July 2023	Nil	Nil	Nil	Nil

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

* Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month

Trend of annual (financial year) disposal of complaints (for 3 years on rolling basis):

Sr. No.	Year	Carried forward from previous year	Received during particular year	Resolved during particular year	Pending at the end of particular year
1	2019-20	Nil	Nil	Nil	Nil
2	2020-21	Nil	Nil	Nil	Nil
3	2021-22	Nil	Nil	Nil	Nil
4	2022-23	Nil	Nil	Nil	Nil

Investor Complaints Data - June 2023:

Sr. No.	Received from	Pending as at the end of last month	Received during particular month	Received during particular month (*)	Total Pending during particular month (#)	Pending complaints > 1 month	Average Resolution time (^) (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORE S)	Nil	Nil	Nil	Nil	Nil	Nil
3	Stock Exchange (If relevant)	Nil	Nil	Nil	Nil	Nil	Nil
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil
5	Grand Total	Nil	Nil	Nil	Nil	Nil	Nil

Trend of monthly disposal of complaints for the financial year 2023-24:

Sr. No.	Month	Carried forward from previous month	Received during particular month	Resolved during particular month (*)	Pending at the end of particular month (#)
1	April 2023	Nil	Nil	Nil	Nil
2	May 2023	Nil	Nil	Nil	Nil
3	June 2023	Nil	Nil	Nil	Nil

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

* Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month

Trend of annual (financial year) disposal of complaints (for 3 years on rolling basis):

Sr. No.	Year	Carried forward from previous year	Received during particular year	Resolved during particular year	Pending at the end of particular year
1	2019-20	Nil	Nil	Nil	Nil
2	2020-21	Nil	Nil	Nil	Nil
3	2021-22	Nil	Nil	Nil	Nil
4	2022-23	Nil	Nil	Nil	Nil

Investor Complaints Data - May 2023:

Sr. No.	Received from	Pending as at the end of last month	Received during particular month	Received during particular month (*)	Total Pending during particular month (#)	Pending complaints > 1 month	Average Resolution time (^) (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORE S)	Nil	Nil	Nil	Nil	Nil	Nil
3	Stock Exchange (If relevant)	Nil	Nil	Nil	Nil	Nil	Nil
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil
5	Grand Total	Nil	Nil	Nil	Nil	Nil	Nil

Trend of monthly disposal of complaints for the financial year 2023-24:

Sr. No.	Month	Carried forward from previous month	Received during particular month	Resolved during particular month (*)	Pending at the end of particular month (#)
1	April 2023	Nil	Nil	Nil	Nil
2	May 2023	Nil	Nil	Nil	Nil

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

* Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month

Trend of annual (financial year) disposal of complaints (for 3 years on rolling basis):

Sr. No.	Year	Carried forward from previous year	Received during particular year	Resolved during particular year	Pending at the end of particular year
1	2019-20	Nil	Nil	Nil	Nil
2	2020-21	Nil	Nil	Nil	Nil
3	2021-22	Nil	Nil	Nil	Nil
4	2022-23	Nil	Nil	Nil	Nil

Investor Complaints Data - April 2023:

Sr. No.	Received from	Pending as at the end of last month	Received during particular month	Received during particular month (*)	Total Pending during particular month (#)	Pending complaints > 1 month	Average Resolution time (^) (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORE S)	Nil	Nil	Nil	Nil	Nil	Nil
3	Stock Exchange (If relevant)	Nil	Nil	Nil	Nil	Nil	Nil
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil
5	Grand Total	Nil	Nil	Nil	Nil	Nil	Nil

Trend of monthly disposal of complaints for the financial year 2023-24:

Sr. No.	Month	Carried forward from previous month	Received during particular month	Resolved during particular month (*)	Pending at the end of particular month (#)
1	April 2023	Nil	Nil	Nil	Nil

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

* Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month

Trend of annual (financial year) disposal of complaints (for 3 years on rolling basis):

Sr. No.	Year	Carried forward from previous year	Received during particular year	Resolved during particular year	Pending at the end of particular year
1	2019-20	Nil	Nil	Nil	Nil
2	2020-21	Nil	Nil	Nil	Nil
3	2021-22	Nil	Nil	Nil	Nil
4	2022-23	Nil	Nil	Nil	Nil