



April 12, 2018

Corporate Relationship Department
Bombay Stock Exchange Limited
Phiroze Jeejeebhoy Towers, Dalal Street,
Fort, Mumbai 400001

Capital Markets-Listing
National Stock Exchange of India Ltd
Exchange Plaza, 5th Floor, Plot No. C/1,
G Block, Bandra Kurla Complex, Bandra (East),
Mumbai 400051

Dear Sir,

Sub: Regulation 40(9) & 40(10) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Re: Stock Code: 500337 (BSE) / PRIMESECU (NSE)

Pursuant to the provisions of Regulation 40(9) & 40(10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith the Certificate dated April 11, 2018, from M/s. Pramod Shah & Associates, Practising Company Secretaries, for the Half-Year ended March 31, 2018.

This is for your information and records.

Thanking you,

Yours faithfully,
For **Prime Securities Limited**


Ajay Shah
Company Secretary
(ACS-14359)

Pramod S. Shah & Associates

Practising Company Secretary

3rd Floor, LA-SHEWA Bldg., Next to Fedex, 233, P.D' Mello Road, Opp. St. George Hospital, Near CST, Mumbai 400 001.
Tel. : 91-22-2271 7700/ 91-22-2270 1040 Email : saurabhshah@psaprofessionals.com Website : cspssa.co.in

CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS 2015.

FOR THE HALF YEAR ENDED 31ST MARCH, 2018.

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Prime Securities Limited** maintained by **Link Intime India Private Limited (the RTA)** pertaining to transfer of equity shares of the Company for the period from **01st October, 2017 to 31st March, 2018** for the purpose of issuing a Certificate as per Clause 40(9) of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 entered into by, **Prime Securities Limited** with Stock Exchanges and based on the information provided by the Company, We hereby certify that the Company has delivered during half year ended on **31st March, 2018**:

- A) Share Certificate(s) relating to the transfer of Shares received during the period from **1st October, 2017 to 31st March, 2018** as entered in the Memorandum of Transfers and had been issued within thirty days from respective date of lodgment of each deed excepting those rejected on technical grounds.
- B) The Company has not received any request for issue of duplicate share certificates and has received requests for transmission of shares during the period from **1st October, 2017 to 31st March, 2018** and the certificates for the same has been issued within thirty days from respective date of lodgment.

PRAMOD
SHANTILAL
SHAH

Digitally signed by PRAMOD SHANTILAL
SHAH
DN: cn=PRAMOD SHANTILAL SHAH,
o=PSA, ou=Practising Company Secretary,
email=saurabhshah@psaprofessionals.com,
serial=62054772, c=IN

For Pramod S. Shah & Associates
Pramod S. Shah - Partner
C. P. No. : 3804
Membership No. 334

Date: 11th April, 2018

Place: Mumbai